

Form  
**990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax****Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)**

- Do not enter social security numbers on this form as it may be made public
- Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

**2016****Open to Public Inspection****A For the 2016 calendar year, or tax year beginning 07-01-2016 , and ending 06-30-2017**

- B Check if applicable
- Address change
  - Name change
  - Initial return Final
  - Return/terminated
  - Amended return
  - Application pending

|  |   |   |
|--|---|---|
| <b>C Name of organization</b><br>The Devereux Foundation   |   | <b>D Employer identification number</b><br>23-1390618 |
| % ROBERT C DUNNE CFO<br>Doing business as<br>Devereux Advanced Behavioral Health   |   | <b>E Telephone number</b><br>(610) 542-3065           |
| <b>F Number and street (or P O box if mail is not delivered to street address)</b><br>2012 Renaissance Boulevard   |   | <b>G Gross receipts \$ 448,784,372</b>                |
| <b>H(a) Is this a group return for subordinates?</b><br><input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |   |   |
| <b>H(b) Are all subordinates included?</b><br><input type="checkbox"/> Yes <input type="checkbox"/> No   |   |   |
|  |   | If "No," attach a list (see instructions)             |
| <b>H(c) Group exemption number ►</b>   |   |   |
| <b>I Tax-exempt status</b>   | <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ► (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 |   |
| <b>J Website:</b> ► <a href="http://www.devereux.org">www.devereux.org</a>   |   |   |
| <b>K Form of organization</b> <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ► |   | <b>L Year of formation</b> 1938                       |
|  |   | <b>M State of legal domicile</b> PA                   |

**Part I Summary**

**1** Briefly describe the organization's mission or most significant activities  
Devereux is a leading behavioral healthcare organization supporting many of the most underserved and vulnerable members of our communities

**2** Check this box ►  if the organization discontinued its operations or disposed of more than 25% of its net assets

**3** Number of voting members of the governing body (Part VI, line 1a) . . . . .

**4** Number of independent voting members of the governing body (Part VI, line 1b) . . . . .

**5** Total number of individuals employed in calendar year 2016 (Part V, line 2a) . . . . .

**6** Total number of volunteers (estimate if necessary) . . . . .

**7a** Total unrelated business revenue from Part VIII, column (C), line 12 . . . . .

**b** Net unrelated business taxable income from Form 990-T, line 34 . . . . .

|           |       |
|-----------|-------|
| <b>3</b>  | 19    |
| <b>4</b>  | 18    |
| <b>5</b>  | 9,565 |
| <b>6</b>  |       |
| <b>7a</b> | 0     |
| <b>7b</b> |       |

**Revenue**

|  |                             |                                |
|--|-----------------------------|--------------------------------|
| <b>8</b> Contributions and grants (Part VIII, line 1h) . . . . .                           | <b>Prior Year</b> 9,564,385 | <b>Current Year</b> 11,968,709 |
| <b>9</b> Program service revenue (Part VIII, line 2g) . . . . .                            | 418,381,330                 | 433,261,168                    |
| <b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d) . . . . .          | 621,343                     | 614,462                        |
| <b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)         | 925,068                     | 1,087,573                      |
| <b>12</b> Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 429,492,126                 | 446,931,912                    |

**Expenses**

|   |             |             |
|---|-------------|-------------|
| <b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3) . . . . .        | 71,162      | 197,751     |
| <b>14</b> Benefits paid to or for members (Part IX, column (A), line 4) . . . . .           | 0           | 0           |
| <b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | 315,627,709 | 327,310,221 |
| <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e) . . . . .          | 0           | 0           |
| <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ► 1,833,935              |             |             |
| <b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) . . . . .            | 109,863,799 | 111,869,056 |
| <b>18</b> Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)          | 425,562,670 | 439,377,028 |
| <b>19</b> Revenue less expenses Subtract line 18 from line 12 . . . . .                     | 3,929,456   | 7,554,884   |

**Net Assets or Fund Balances**

|   |  |                                |
|---|--|--------------------------------|
| <b>20</b> Total assets (Part X, line 16) . . . . .                            | <b>Beginning of Current Year</b> 203,433,000 | <b>End of Year</b> 186,405,000 |
| <b>21</b> Total liabilities (Part X, line 26) . . . . .                       | 162,998,000                                  | 150,669,000                    |
| <b>22</b> Net assets or fund balances Subtract line 21 from line 20 . . . . . | 40,435,000                                   | 35,736,000                     |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

|   |                    |
|---|--------------------|
| *****<br>Signature of officer<br>ROBERT C DUNNE CFO<br>Type or print name and title | 2018-05-08<br>Date |
|---|--------------------|

|                               |                            |                      |      |   |      |
|-------------------------------|----------------------------|----------------------|------|---|------|
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN |
|                               | Firm's name ►              |                      |      | Firm's EIN ►                                    |      |
|                               | Firm's address ►           |                      |      | Phone no  |      |

May the IRS discuss this return with the preparer shown above? (see instructions) . . . . .  Yes  No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2016)

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1 Briefly describe the organization's mission**

DEVEREUX ADVANCED BEHAVIORAL HEALTH CHANGES LIVES BY UNLOCKING AND NURTURING HUMAN POTENTIAL FOR PEOPLE WITH EMOTIONAL, BEHAVIORAL, OR COGNITIVE DIFFERENCES. AT DEVEREUX ADVANCED BEHAVIORAL HEALTH, WE INTEGRATE THE LATEST SCIENTIFIC AND MEDICAL ADVANCEMENTS WITH TIME-TESTED PHILOSOPHIES AND COMPASSIONATE FAMILY ENGAGEMENT TO PROVIDE PRACTICAL, EFFECTIVE, AND EFFICIENT CARE, MAKING A MEANINGFUL DIFFERENCE IN THE LIVES OF THOSE WE SERVE, AND THE WORLD AROUND THEM. WE HELP CHANGE LIVES FOR PEOPLE WITH AUTISM, INTELLECTUAL AND DEVELOPMENTAL DISABILITIES, SPECIALTY MENTAL HEALTH NEEDS, AND CHILD WELFARE CONCERNs, WHILE SUPPORTING THE GOOD SOCIAL AND EMOTIONAL HEALTH OF ALL PEOPLE

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**2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No**

If "Yes," describe these new services on Schedule O

**3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No**

If "Yes," describe these changes on Schedule O

**4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**

|                           |   |
|---------------------------|---|
| <b>4a</b>                 | (Code ) (Expenses \$ 211,697,024 including grants of \$ ) (Revenue \$ 231,590,694 )   |
| See Additional Data       |   |
| <b>4b</b>                 | (Code ) (Expenses \$ 106,307,850 including grants of \$ ) (Revenue \$ 116,447,383 )   |
| See Additional Data       |   |
| <b>4c</b>                 | (Code ) (Expenses \$ 24,247,799 including grants of \$ ) (Revenue \$ 29,833,107 )   |
| See Additional Data       |   |
| See Additional Data Table |   |
| <b>4d</b>                 | Other program services (Describe in Schedule O )<br>(Expenses \$ 51,131,752 including grants of \$ ) (Revenue \$ 55,389,714 ) |
| <b>4e</b>                 | <b>Total program service expenses ► 393,384,425</b>   |

**Part IV Checklist of Required Schedules**

|   | <b>Yes</b> | <b>No</b> |
|---|------------|-----------|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A   | 1 Yes      |           |
| 2 Is the organization required to complete Schedule B, <i>Schedule of Contributors</i> (see instructions)?  | 2 Yes      |           |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I  | 3          | No        |
| 4 <b>Section 501(c)(3) organizations.</b><br>Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?<br>If "Yes," complete Schedule C, Part II   | 4 Yes      |           |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19?<br>If "Yes," complete Schedule C, Part III  | 5          | No        |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts?<br>If "Yes," complete Schedule D, Part I   | 6          | No        |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II  | 7          | No        |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets?<br>If "Yes," complete Schedule D, Part III  | 8          | No        |
| 9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV   | 9 Yes      |           |
| 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V   | 10 Yes     |           |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable <ul style="list-style-type: none"> <li>a Did the organization report an amount for land, buildings, and equipment in Part X, line 10?<br/>If "Yes," complete Schedule D, Part VI</li> <li>b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII</li> <li>c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII</li> <li>d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX</li> <li>e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X</li> <li>f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part XI</li> </ul> | 11a Yes    |           |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year?<br>If "Yes," complete Schedule D, Parts XI and XII   | 12a        | No        |
| 12b Was the organization included in consolidated, independent audited financial statements for the tax year?<br>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional  | 12b Yes    |           |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | 13         | No        |
| 14a Did the organization maintain an office, employees, or agents outside of the United States?   | 14a        | No        |
| 14b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV   | 14b        | No        |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV   | 15         | No        |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV   | 16         | No        |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)  | 17         | No        |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II   | 18 Yes     |           |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III   | 19         | No        |

**Part IV Checklist of Required Schedules (continued)**

- 20a** Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .
- b** If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?
- 21** Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II
- 22** Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III
- 23** Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J
- 24a** Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a
- b** Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?
- c** Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?
- d** Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?
- 25a** **Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I
- b** Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I
- 26** Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II
- 27** Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III
- 28** Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)
- a** A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV
- b** A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV
- c** An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV
- 29** Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M
- 30** Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M
- 31** Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I
- 32** Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II
- 33** Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I
- 34** Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1
- 35a** Did the organization have a controlled entity within the meaning of section 512(b)(13)?
- b** If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2
- 36** **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2
- 37** Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI
- 38** Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O

|            | Yes | No |
|------------|-----|----|
| <b>20a</b> | Yes |    |
| <b>20b</b> | Yes |    |
| <b>21</b>  | Yes |    |
| <b>22</b>  |     | No |
| <b>23</b>  | Yes |    |
| <b>24a</b> | Yes |    |
| <b>24b</b> |     | No |
| <b>24c</b> |     | No |
| <b>24d</b> |     | No |
| <b>25a</b> |     | No |
| <b>25b</b> |     | No |
| <b>26</b>  |     | No |
| <b>27</b>  |     | No |
| <b>28a</b> |     | No |
| <b>28b</b> |     | No |
| <b>28c</b> |     | No |
| <b>29</b>  | Yes |    |
| <b>30</b>  |     | No |
| <b>31</b>  |     | No |
| <b>32</b>  |     | No |
| <b>33</b>  |     | No |
| <b>34</b>  | Yes |    |
| <b>35a</b> | Yes |    |
| <b>35b</b> | Yes |    |
| <b>36</b>  |     | No |
| <b>37</b>  |     | No |
| <b>38</b>  | Yes |    |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . .



|  |                 | Yes           | No |
|--|-----------------|---------------|----|
| <b>1a</b> Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . . . .  | <b>1a</b> 1,262 |               |    |
| <b>b</b> Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable  | <b>1b</b> 0     |               |    |
| <b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .  |                 | <b>1c</b> Yes |    |
| <b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .  | <b>2a</b> 9,565 |               |    |
| <b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)                       |                 | <b>2b</b> Yes |    |
| <b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .  |                 | <b>3a</b>     | No |
| <b>b</b> If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i> . . . . .  |                 | <b>3b</b>     |    |
| <b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . |                 | <b>4a</b>     | No |
| <b>b</b> If "Yes," enter the name of the foreign country ► See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)  |                 |               |    |
| <b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .  |                 | <b>5a</b>     | No |
| <b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?  |                 | <b>5b</b>     | No |
| <b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .  |                 | <b>5c</b>     |    |
| <b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . . .                                    |                 | <b>6a</b>     | No |
| <b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .   |                 | <b>6b</b>     |    |
| <b>7</b> <b>Organizations that may receive deductible contributions under section 170(c).</b>  |                 | <b>7a</b> Yes |    |
| <b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .   |                 | <b>7b</b> Yes |    |
| <b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .   |                 | <b>7c</b>     | No |
| <b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .  |                 |               |    |
| <b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year . . . . .   | <b>7d</b>       |               |    |
| <b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .   |                 | <b>7e</b>     | No |
| <b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  |                 | <b>7f</b>     | No |
| <b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .  |                 | <b>7g</b>     |    |
| <b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .  |                 | <b>7h</b> Yes |    |
| <b>8</b> <b>Sponsoring organizations maintaining donor advised funds.</b>  |                 | <b>8</b>      |    |
| Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . .  |                 | <b>9a</b>     |    |
| <b>9a</b> Did the sponsoring organization make any taxable distributions under section 4966? . . . . .   |                 | <b>9b</b>     |    |
| <b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . . .   |                 |               |    |
| <b>10</b> <b>Section 501(c)(7) organizations.</b> Enter  |                 |               |    |
| <b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . . . .  | <b>10a</b>      |               |    |
| <b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities   | <b>10b</b>      |               |    |
| <b>11</b> <b>Section 501(c)(12) organizations.</b> Enter   |                 |               |    |
| <b>a</b> Gross income from members or shareholders . . . . .   | <b>11a</b>      |               |    |
| <b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . .  | <b>11b</b>      |               |    |
| <b>12a</b> <b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? . . . . .   |                 | <b>12a</b>    |    |
| <b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year   | <b>12b</b>      |               |    |
| <b>13</b> <b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>  |                 |               |    |
| <b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O  |                 | <b>13a</b>    |    |
| <b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .   | <b>13b</b>      |               |    |
| <b>c</b> Enter the amount of reserves on hand . . . . .  | <b>13c</b>      |               |    |
| <b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . . . .  |                 | <b>14a</b>    | No |
| <b>b</b> If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i> . . . . .  |                 | <b>14b</b>    |    |

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions

Check if Schedule O contains a response or note to any line in this Part VI . . . . .

## Section A. Governing Body and Management

|  |           | Yes | No |
|--|-----------|-----|----|
| <b>1a</b> Enter the number of voting members of the governing body at the end of the tax year  | <b>1a</b> | 19  |    |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O             |           |     |    |
| <b>b</b> Enter the number of voting members included in line 1a, above, who are independent  | <b>1b</b> | 18  |    |
| <b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?   | <b>2</b>  | Yes |    |
| <b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | <b>3</b>  | No  |    |
| <b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?  | <b>4</b>  | No  |    |
| <b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?  | <b>5</b>  | No  |    |
| <b>6</b> Did the organization have members or stockholders?  | <b>6</b>  | No  |    |
| <b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?   | <b>7a</b> | No  |    |
| <b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?   | <b>7b</b> | No  |    |
| <b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following  |           |     |    |
| <b>a</b> The governing body?   | <b>8a</b> | Yes |    |
| <b>b</b> Each committee with authority to act on behalf of the governing body?   | <b>8b</b> | Yes |    |
| <b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O        | <b>9</b>  | No  |    |

## Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

|   |            | Yes | No |
|---|------------|-----|----|
| <b>10a</b> Did the organization have local chapters, branches, or affiliates?   | <b>10a</b> | Yes |    |
| <b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?   | <b>10b</b> | Yes |    |
| <b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | <b>11a</b> | Yes |    |
| <b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990   | <b>12a</b> | Yes |    |
| <b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13  | <b>12b</b> | Yes |    |
| <b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?  | <b>12c</b> | Yes |    |
| <b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done   | <b>13</b>  | Yes |    |
| <b>13</b> Did the organization have a written whistleblower policy?   | <b>14</b>  | Yes |    |
| <b>14</b> Did the organization have a written document retention and destruction policy?  | <b>15a</b> | Yes |    |
| <b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  | <b>15b</b> | Yes |    |
| <b>a</b> The organization's CEO, Executive Director, or top management official   | <b>16a</b> | Yes |    |
| <b>b</b> Other officers or key employees of the organization  | <b>16b</b> | Yes |    |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)  |            |     |    |
| <b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?  |            |     |    |
| <b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? |            |     |    |

## Section C. Disclosure

|  |   |
|--|---|
| <b>17</b> List the States with which a copy of this Form 990 is required to be filed►  | AZ , CA , CO , CT , DE , FL , GA , MA , MI , NJ , NY , PA , RI , WA                     |
| <b>18</b> Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply |   |
| <input type="checkbox"/> Own website <input checked="" type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)                           |   |
| <b>19</b> Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year                   |   |
| <b>20</b> State the name, address, and telephone number of the person who possesses the organization's books and records   | ►ROBERT C DUNNE CFO 2012 RENAISSANCE BOULEVARD King of Prussia, PA 19406 (610) 542-3063 |

## **Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . .

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
  - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
  - List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

|  |   |           |   |         |
|--|---|-----------|---|---------|
| <b>1b Sub-Total . . . . .</b>  | ► |           |   |         |
| <b>c Total from continuation sheets to Part VII, Section A . . .</b> | ► |           |   |         |
| <b>d Total (add lines 1b and 1c) . . . . .</b>                       | ► | 6,977,103 | 0 | 585,225 |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 99

|   | <b>Yes</b>   | <b>No</b> |
|---|--------------|-----------|
| <b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual . . . . .  | <b>3</b> Yes |           |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual . . . . . | <b>4</b> Yes |           |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person . . . . .                       | <b>5</b>     | No        |

## **Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address   | (B)<br>Description of services | (C)<br>Compensation |
|--|--------------------------------|---------------------|
| RESTORE OT PT SLPPC,<br>81 MOWHAWK STREET<br>COHOES, NY 12047                  | ANCILLARY THERAPIES            | 1,030,910           |
| ERNST YOUNG LLP,<br>2005 Market Street Suite 700<br>PHILADELPHIA, PA 19103     | AUDITING                       | 483,710             |
| ROBERT SHUCH DO,<br>1111 W ASTER DR<br>PHOENIX, AZ 85029                       | PSYCHIATRIC SERVICES           | 351,800             |
| SAMUEL MCCLURE MD,<br>828 E WASHINGTON ST<br>ORLANDO, FL 32801                 | PSYCHIATRIC SERVICES           | 257,300             |
| INTEGRATED PSYCHIATRY OF SOUTHERN A,<br>505 N ALVERNON WAY<br>TUCSON, AZ 85711 | PSYCHIATRIC SERVICES           | 229,240             |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 28

**Part VIII Statement of Revenue**Check if Schedule O contains a response or note to any line in this Part VIII . . . . . 

|  |                |               | (A)<br>Total revenue | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from<br>tax under sections<br>512-514 |
|--|----------------|---------------|----------------------|--|---|--|
|  |                |               |                      |  |   |  |
| <b>Contributions, Gifts, Grants<br/>and Other Similar Amounts</b>  |                |               |                      |  |   |  |
| <b>1a</b> Federated campaigns . . .  | <b>1a</b>      | 36,578        |                      |  |   |  |
| <b>b</b> Membership dues . . .   | <b>1b</b>      |               |                      |  |   |  |
| <b>c</b> Fundraising events . . .  | <b>1c</b>      | 891,693       |                      |  |   |  |
| <b>d</b> Related organizations   | <b>1d</b>      | 2,629,285     |                      |  |   |  |
| <b>e</b> Government grants (contributions)   | <b>1e</b>      |               |                      |  |   |  |
| <b>f</b> All other contributions, gifts, grants,<br>and similar amounts not included<br>above  | <b>1f</b>      | 8,411,153     |                      |  |   |  |
| <b>g</b> Noncash contributions included<br>in lines 1a-1f \$   |                | 1,072,274     |                      |  |   |  |
| <b>h Total.</b> Add lines 1a-1f . . . . . ►  |                | 11,968,709    |                      |  |   |  |
| <b>Program Service Revenue</b>   |                |               | Business Code        |  |   |  |
| <b>2a</b> CAMPUS BASED RESIDENTIAL/EDUCATION   |                | 623210        | 231,590,964          | 231,590,964  |   |  |
| <b>b</b> COMMUNITY-BASED RESIDENTIAL   |                | 623210        | 116,447,383          | 116,447,383  |   |  |
| <b>c</b> FOSTER CARE   |                | 624190        | 29,833,107           | 29,833,107   |   |  |
| <b>d</b> ACUTE CARE  |                | 622110        | 10,668,397           | 10,668,397   |   |  |
| <b>e</b> CASE MANAGEMENT   |                | 624190        | 18,407,951           | 18,407,951   |   |  |
| <b>f</b> All other program service revenue   |                |               | 26,313,366           | 26,313,366   |   |  |
| <b>g Total.</b> Add lines 2a-2f . . . . . ►  |                | 433,261,168   |                      |  |   |  |
| <b>Other Revenue</b>   |                |               |                      |  |   |  |
| <b>3</b> Investment income (including dividends, interest, and other<br>similar amounts) . . . . . ►   |                | 323,449       |                      |  |   | 323,449  |
| <b>4</b> Income from investment of tax-exempt bond proceeds  |                | 0             |                      |  |   |  |
| <b>5</b> Royalties . . . . . ►   |                | 0             |                      |  |   |  |
| <b>6a</b> Gross rents  | (i) Real       | (ii) Personal |                      |  |   |  |
| <b>b</b> Less rental expenses  | 90,034         |               |                      |  |   |  |
| <b>c</b> Rental income or<br>(loss)  | 90,034         | 0             |                      |  |   |  |
| <b>d</b> Net rental income or (loss) . . . . . ►   |                | 90,034        |                      |  |   | 90,034   |
| <b>7a</b> Gross amount<br>from sales of<br>assets other<br>than inventory  | (i) Securities | (ii) Other    |                      |  |   |  |
| <b>b</b> Less cost or<br>other basis and<br>sales expenses   | 367,373        | 1,068,062     |                      |  |   |  |
| <b>c</b> Gain or (loss)  | 299,406        | 845,016       |                      |  |   |  |
| <b>d</b> Net gain or (loss) . . . . . ►  | 67,967         | 223,046       |                      |  |   |  |
|  |                |               | 291,013              |  |   | 291,013  |
| <b>8a</b> Gross income from fundraising events<br>(not including \$ 891,693 of<br>contributions reported on line 1c)<br>See Part IV, line 18 . . . . . a |                | 879,157       |                      |  |   |  |
| <b>b</b> Less direct expenses . . . . . b  |                | 708,038       |                      |  |   |  |
| <b>c</b> Net income or (loss) from fundraising events . . . . . ►  |                | 171,119       |                      |  |   |  |
| <b>9a</b> Gross income from gaming activities<br>See Part IV, line 19 . . . . . a  |                | 0             |                      |  |   |  |
| <b>b</b> Less direct expenses . . . . . b  |                | 0             |                      |  |   |  |
| <b>c</b> Net income or (loss) from gaming activities . . . . . ►   |                | 0             |                      |  |   |  |
| <b>10a</b> Gross sales of inventory, less<br>returns and allowances . . . . . a  |                | 0             |                      |  |   |  |
| <b>b</b> Less cost of goods sold . . . . . b   |                | 0             |                      |  |   |  |
| <b>c</b> Net income or (loss) from sales of inventory . . . . . ►  |                | 0             |                      |  |   |  |
| Miscellaneous Revenue  | Business Code  |               |                      |  |   |  |
| <b>11a</b> ICPTR INTELLECTUAL PROPERTY   | 616000         |               | 519,249              | 519,249  |   |  |
| <b>b</b> MISCELLANEOUS   | 900099         |               | 307,171              | 307,171  |   |  |
| <b>c</b>   |                |               |                      |  |   |  |
| <b>d</b> All other revenue . . . . .   |                |               |                      |  |   |  |
| <b>e Total.</b> Add lines 11a-11d . . . . . ►  |                | 826,420       |                      |  |   |  |
| <b>12 Total revenue.</b> See Instructions . . . . . ►  |                | 446,931,912   | 434,087,588          |  |   | 704,496  |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX 

| <b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>  | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|--|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments See Part IV, line 21  | 197,751               | 197,751                         |  |                             |
| 2 Grants and other assistance to domestic individuals See Part IV, line 22   | 0                     |                                 |  |                             |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals See Part IV, line 15 and 16   | 0                     |                                 |  |                             |
| 4 Benefits paid to or for members  | 0                     |                                 |  |                             |
| 5 Compensation of current officers, directors, trustees, and key employees   | 5,208,465             | 2,412,806                       | 2,375,677                              | 419,982                     |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)  | 0                     |                                 |  |                             |
| 7 Other salaries and wages   | 250,282,685           | 224,207,560                     | 25,286,678                             | 788,447                     |
| 8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)  | 8,111,971             | 6,743,883                       | 1,308,755                              | 59,333                      |
| 9 Other employee benefits  | 45,158,197            | 40,730,358                      | 4,317,579                              | 110,260                     |
| 10 Payroll taxes   | 18,548,903            | 16,603,416                      | 1,867,827                              | 77,660                      |
| 11 Fees for services (non-employees)   |                       |                                 |  |                             |
| a Management   | 0                     |                                 |  |                             |
| b Legal  | 211,999               | 41,274                          | 170,725                                |                             |
| c Accounting   | 513,112               | 266,818                         | 246,294                                |                             |
| d Lobbying   | 88,625                | 88,625                          |  |                             |
| e Professional fundraising services See Part IV, line 17   | 0                     |                                 |  |                             |
| f Investment management fees   | 0                     |                                 |  |                             |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)   | 32,349,308            | 32,021,572                      | 261,736                                | 66,000                      |
| 12 Advertising and promotion   | 468,876               | 468,876                         |  |                             |
| 13 Office expenses   | 22,525,553            | 20,590,003                      | 1,748,687                              | 186,863                     |
| 14 Information technology  | 1,699,223             | 1,401,283                       | 289,723                                | 8,217                       |
| 15 Royalties   | 0                     |                                 |  |                             |
| 16 Occupancy   | 24,785,833            | 21,154,983                      | 3,550,978                              | 79,872                      |
| 17 Travel  | 2,916,732             | 2,216,827                       | 680,066                                | 19,839                      |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials  | 0                     |                                 |  |                             |
| 19 Conferences, conventions, and meetings  | 130,345               | 35,268                          | 86,785                                 | 8,292                       |
| 20 Interest  | 2,346,958             | 2,346,958                       |  |                             |
| 21 Payments to affiliates  | 0                     |                                 |  |                             |
| 22 Depreciation, depletion, and amortization   | 13,617,262            | 11,893,047                      | 1,716,104                              | 8,111                       |
| 23 Insurance   | 8,121,867             | 7,869,754                       | 251,054                                | 1,059                       |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) |                       |                                 |  |                             |
| a BAD DEBT   | 1,565,701             | 1,565,701                       |  |                             |
| b GIFTS IN KIND OFFSET   | 790,834               | 790,834                         |  |                             |
| c CONTINUING CARE  | 238,677               | 238,677                         |  |                             |
| d CHANGE IN INTEREST RATE SWAP V   | -501,849              | -501,849                        |  |                             |
| e All other expenses   |                       |                                 |  |                             |
| <b>25 Total functional expenses.</b> Add lines 1 through 24e   | 439,377,028           | 393,384,425                     | 44,158,668                             | 1,833,935                   |
| <b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation                            |                       |                                 |  |                             |
| Check here ► <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)  |                       |                                 |  |                             |

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part IX 

|                                    |   | (A)<br>Beginning of year |             | (B)<br>End of year |
|------------------------------------|---|--------------------------|-------------|--------------------|
| <b>Assets</b>                      | <b>1</b> Cash—non-interest-bearing . . . . .  | 0                        | <b>1</b>    | 0                  |
|                                    | <b>2</b> Savings and temporary cash investments . . . . .   | 11,254,000               | <b>2</b>    | 9,187,000          |
|                                    | <b>3</b> Pledges and grants receivable, net . . . . .   | 5,627,000                | <b>3</b>    | 6,265,000          |
|                                    | <b>4</b> Accounts receivable, net . . . . .   | 48,615,000               | <b>4</b>    | 50,975,000         |
|                                    | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L . . . . .   | 0                        | <b>5</b>    | 0                  |
|                                    | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L . . . . . | 0                        | <b>6</b>    | 0                  |
|                                    | <b>7</b> Notes and loans receivable, net . . . . .  | 0                        | <b>7</b>    | 0                  |
|                                    | <b>8</b> Inventories for sale or use . . . . .  | 0                        | <b>8</b>    | 0                  |
|                                    | <b>9</b> Prepaid expenses and deferred charges . . . . .  | 13,843,000               | <b>9</b>    | 10,221,000         |
|                                    | <b>10a</b> Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D . . . . .  | <b>10a</b>               | 315,603,000 |                    |
|                                    | <b>b</b> Less accumulated depreciation . . . . .  | <b>10b</b>               | 212,776,000 | 104,601,000        |
|                                    | <b>11</b> Investments—publicly traded securities . . . . .  | 17,029,000               | <b>11</b>   | 6,492,000          |
|                                    | <b>12</b> Investments—other securities See Part IV, line 11 . . . . .   | 2,092,000                | <b>12</b>   | 0                  |
|                                    | <b>13</b> Investments—program-related See Part IV, line 11 . . . . .  | 0                        | <b>13</b>   | 0                  |
|                                    | <b>14</b> Intangible assets . . . . .   | 0                        | <b>14</b>   | 0                  |
|                                    | <b>15</b> Other assets See Part IV, line 11 . . . . .   | 372,000                  | <b>15</b>   | 438,000            |
|                                    | <b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .   | 203,433,000              | <b>16</b>   | 186,405,000        |
| <b>Liabilities</b>                 | <b>17</b> Accounts payable and accrued expenses . . . . .   | 70,259,000               | <b>17</b>   | 62,094,000         |
|                                    | <b>18</b> Grants payable . . . . .  | 0                        | <b>18</b>   | 0                  |
|                                    | <b>19</b> Deferred revenue . . . . .  | 6,831,000                | <b>19</b>   | 6,259,000          |
|                                    | <b>20</b> Tax-exempt bond liabilities . . . . .   | 35,065,000               | <b>20</b>   | 30,263,000         |
|                                    | <b>21</b> Escrow or custodial account liability Complete Part IV of Schedule D . . . . .  | 1,856,000                | <b>21</b>   | 1,871,000          |
|                                    | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L . . . . .   | 0                        | <b>22</b>   | 0                  |
|                                    | <b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .  | 19,679,000               | <b>23</b>   | 18,506,000         |
|                                    | <b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .  | 0                        | <b>24</b>   | 0                  |
|                                    | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24) Complete Part X of Schedule D . . . . .  | 29,308,000               | <b>25</b>   | 31,676,000         |
|                                    | <b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .  | 162,998,000              | <b>26</b>   | 150,669,000        |
| <b>Net Assets or Fund Balances</b> | <b>Organizations that follow SFAS 117 (ASC 958), check here ► <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>  |                          |             |                    |
|                                    | <b>27</b> Unrestricted net assets . . . . .   | 27,087,000               | <b>27</b>   | 21,009,000         |
|                                    | <b>28</b> Temporarily restricted net assets . . . . .   | 13,348,000               | <b>28</b>   | 14,727,000         |
|                                    | <b>29</b> Permanently restricted net assets . . . . .   | 0                        | <b>29</b>   | 0                  |
|                                    | <b>Organizations that do not follow SFAS 117 (ASC 958), check here ► <input type="checkbox"/> and complete lines 30 through 34.</b>   |                          |             |                    |
|                                    | <b>30</b> Capital stock or trust principal, or current funds . . . . .  | 30                       |             |                    |
|                                    | <b>31</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .   | 31                       |             |                    |
|                                    | <b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .  | 32                       |             |                    |
|                                    | <b>33</b> Total net assets or fund balances . . . . .   | 40,435,000               | <b>33</b>   | 35,736,000         |
|                                    | <b>34</b> Total liabilities and net assets/fund balances . . . . .  | 203,433,000              | <b>34</b>   | 186,405,000        |

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI 

|  |    |             |
|--|----|-------------|
| 1 Total revenue (must equal Part VIII, column (A), line 12) . . . . .  | 1  | 446,931,912 |
| 2 Total expenses (must equal Part IX, column (A), line 25) . . . . .   | 2  | 439,377,028 |
| 3 Revenue less expenses Subtract line 2 from line 1 . . . . .  | 3  | 7,554,884   |
| 4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .            | 4  | 40,435,000  |
| 5 Net unrealized gains (losses) on investments . . . . .   | 5  | -83,571     |
| 6 Donated services and use of facilities . . . . .   | 6  |             |
| 7 Investment expenses . . . . .  | 7  |             |
| 8 Prior period adjustments . . . . .   | 8  |             |
| 9 Other changes in net assets or fund balances (explain in Schedule O) . . . . .                                 | 9  | -12,170,313 |
| 10 Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 35,736,000  |

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII 

|   | Yes | No  |
|---|-----|-----|
| 1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O   |     |     |
| 2a Were the organization's financial statements compiled or reviewed by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both  | 2a  | No  |
| <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis   |     |     |
| 2b Were the organization's financial statements audited by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both  | 2b  | Yes |
| <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis  |     |     |
| c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | 2c  | Yes |
| 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?   | 3a  | Yes |
| b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits  | 3b  | Yes |

## **Additional Data**

**Software ID:**

**Software Version:**

**EIN:** 23-1390618

**Name:** The Devereux Foundation

Form 990 (2016)

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### **Form 990, Part III, Line 4a:**

Campus-Based Residential/Education Across its 15 centers, Devereux provides a spectrum of campus-based residential services including residential treatment for the autistic spectrum of disorders, substance abuse treatment, and including such challenging services as treatment for adolescent sexual offenders. Devereux offers age-appropriate educational services for students in its residential programs. These students may be in treatment for mental/emotional disorders, behavioral disorders, learning disabilities and intellectual and developmental disorders. The focus of these programs is to ensure the provision of high-quality services and resources in a safe and supporting environment. The average number of clients served by these programs was approximately 1,423 for year ending June 30, 2017. Expenses do not include management and general expenses in the amount of \$23,763,673

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## **Form 990, Part III, Line 4b:**

Community-Based Residential Services include transitional living arrangements, group homes, supervised apartments, psycho-social rehabilitation day programs and vocational training programs for adolescents and adults with intellectual and developmental disabilities. In most of these community-based residential programs, Devereux staff provide 24/7 supervision and treatment of individuals. The average number of clients served by these programs is approximately 836 for year ending June 30, 2017. Expenses do not include management and general expenses of \$11,933,398.

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## **Form 990, Part III, Line 4c:**

Foster Care Devereux offers foster care in group foster homes and in therapeutic foster homes in Arizona, Florida, Georgia, Massachusetts, New Jersey, Texas and Pennsylvania. The average number of clients served by these programs is approximately 919 for year ending June 30, 2017. Expenses do not include management and general expenses of 2,721,893. For 4d Other program services, expenses do not include management and general expenses of \$5,739,704.

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## **Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)**

(Code ) (Expenses \$ 9,192,315 including grants of \$ 10,668,39

## Acute Care

| (Code ) (Expenses \$ 23,952,507 including grants of \$ 26,313,36

## **Outpatient/Other Services**

# Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

|                 |              |            |               |              |
|-----------------|--------------|------------|---------------|--------------|
| (Code           | (Expenses \$ | 17,986,930 | ) (Revenue \$ | 18,407,951 ) |
| Case Management |              |            |               |              |

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A)<br>Name and Title | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |   | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|-----------------------|--|---|-----------------------|---|--|---|---|
|                       |  | Individual trustee<br>or director   | Institutional Trustee | Former<br>highest compensated<br>employee |  |   |   |
| Samuel G Coppersmith  | 5 0  | X   |                       |   | 0  | 0   | 0   |
| Chair, Trustee        | 2 0  |   | X                     |   |  |   |   |
| Francis Genuardi      | 5 0  | X   |                       |   | 0  | 0   | 0   |
| Vice Chair, Trustee   | 2 0  |   | X                     |   |  |   |   |
| Christopher D Butler  | 5 0  | X   |                       |   | 0  | 0   | 0   |
| Vice Chair, Trustee   | 2 0  |   | X                     |   |  |   |   |
| Clarence D Armbrister | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |
| Tami Benton MD        | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |
| Edwin Boynton         | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |
| Robert D Ellis        | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |
| Elva Ferrari-Graham   | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 5 0  |   |                       |   |  |   |   |
| Robert Gottlieb       | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |
| John Gustafsson       | 2 0  | X   |                       |   | 0  | 0   | 0   |
| Trustee               | 2 0  |   |                       |   |  |   |   |

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors** (C) | (D)

| <b>Compensated Employees, and Independent Contractors</b> |     | Average hours per week (list any hours for related organizations below dotted line) | Position (do not check more than one box, unless person is both an officer and a director/trustee) |                     |                    |       |              |                      | Reportable compensation from the organization (W-2/1099-MISC) | Reportable compensation from related organizations (W-2/1099-MISC) | Estimated amount of other compensation from the organization and related organizations |
|---|-----|---|--|---------------------|--------------------|-------|--------------|----------------------|---|--|--|
| (A)<br>Name and Title                                     | (B) |   | Officer  | Independent trustee | Individual trustee | Other | Key employee | Employee compensated |   |  |  |
| Peter R Haje  |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee (Deceased)  |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| Howard Hassman DO   |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| Lisa McCann   |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| Shaye Schloss   |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| James H Schwab  |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| Lauren Solotar  |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| I Steven Udvarhelyi MD                                    |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| K Lisa Yang   |     | 2 0   |  |                     |                    |       |              |                      |   | 0  | 0  |
| Trustee   |     | .....   | X  |                     |                    |       |              |                      |   | 0  | 0  |
| Robert Q Kreider  |     | 58 0  |  |                     |                    |       |              |                      |   | 742,442  |  |
| President and CEO, Trustee                                |     | .....   | X  |                     | X                  |       |              |                      |   | 0  | 122,643  |
| Steven Murphy   |     | 55 0  |  |                     |                    |       | X            |                      |   | 236,868  |  |
| Executive Director FL                                     |     | .....   |  |                     |                    |       |              |                      |   | 0  | 15,962   |

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors** (C) | (D)

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A)<br>Name and Title                                   | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee)  | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---|--|--|--|---|---|
| Leah Yaw<br>.....<br>Sr VP of External Affairs          | 55 0<br>.....<br>5 0   | Individual trustee<br>.....<br>Institutional Trustee<br>.....<br>Officer<br>.....<br>Key employee<br>.....<br>Former<br>.....<br>Highest compensated employee<br>.....<br>Or Director<br>..... | 308,707  | 0   | 33,738  |
| L Gail Atkinson<br>.....<br>VP of Operations            | 55 0<br>.....<br>3 0   | X<br>.....   | 273,449  | 0   | 23,570  |
| Rayni Brindley<br>.....<br>VP of Operations and Bus Dev | 55 0<br>.....<br>0 0   | X<br>.....   | 216,795  | 0   | 7,272   |
| Timothy Dillon<br>.....<br>VP of HR                     | 55 0<br>.....<br>0 0   | X<br>.....   | 220,217  | 0   | 21,258  |
| Carol Oliver<br>.....<br>VP Operations                  | 55 0<br>.....<br>0 0   | X<br>.....   | 282,474  | 0   | 24,436  |
| Gwendolyn Skinner<br>.....<br>VP Operations             | 55 0<br>.....<br>0 0   | X<br>.....   | 199,092  | 0   | 13,123  |
| Thomas Shurer<br>.....<br>VP Information Systems        | 55 0<br>.....<br>0 0   | X<br>.....   | 196,002  | 0   | 19,583  |
| Lawrence W Williams<br>.....<br>VP Compliance and Admin | 55 0<br>.....<br>2 0   | X<br>.....   | 220,468  | 0   | 20,376  |
| David A Griffith<br>.....<br>Controller                 | 55 0<br>.....<br>0 0   | X<br>.....   | 155,914  | 0   | 11,489  |
| Kathy-Ann Lewis<br>.....<br>Treasurer                   | 55 0<br>.....<br>0 0   | X<br>.....   | 89,435   | 0   | 13,967  |

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A)<br>Name and Title                                  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |              |                              |        |  |  | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|--------------|------------------------------|--------|--|--|--|---|---|
| Individual trustee or director                         | Institutional Trustee  | Officer   | Key Employee | Highest compensated employee | Former |  |  |  |   |   |
| Lorraine Barrett<br>.....<br>Assistant Secretary       | 55 0<br>.....<br>0 0   |   | X            |                              |        |  |  | 74,601   | 0   | 12,136  |
| Margaret M McGill<br>.....<br>Executive Vice President | 0 0<br>.....<br>5 0  |   |              |                              | X      |  |  | 312,914  | 0   | 955   |
| Martha Lindsay<br>.....<br>VP Product Development      | 55 0<br>.....<br>0 0   |   |              |                              | X      |  |  | 175,256  | 0   | 14,340  |

**SCHEDULE A**  
(Form 990 or  
990EZ)**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**2016****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue Service**Name of the organization**  
The Devereux Foundation**Employer identification number**

23-1390618

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box )

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II )
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II )
- 8  A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9  An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university
- 10  An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III )
- 11  An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B**.
- b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C**.
- c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E**.
- d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V**.
- e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f Enter the number of supported organizations \_\_\_\_\_
- g Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization<br>(described on lines 1- 10 above (see instructions)) | (iv) Is the organization listed in your governing document? | (v) Amount of monetary support<br>(see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|---|---|--|---|
|                                    |          |   | Yes   | No   |   |
|                                    |          |   |   |  |   |
|                                    |          |   |   |  |   |
|                                    |          |   |   |  |   |

**Total**For Paperwork Reduction Act Notice, see the Instructions for  
Form 990 or 990-EZ.

Cat. No. 11285F

**Schedule A (Form 990 or 990-EZ) 2016**

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e)2016 | (f)Total |
|---|---------|---------|---------|---------|---------|----------|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant")   |         |         |         |         |         |          |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |         |         |         |         |         |          |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge   |         |         |         |         |         |          |
| <b>4 Total.</b> Add lines 1 through 3   |         |         |         |         |         |          |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) |         |         |         |         |         |          |
| <b>6 Public support.</b> Subtract line 5 from line 4  |         |         |         |         |         |          |

**Section B. Total Support**

| Calendar year<br>(or fiscal year beginning in) ►   | (a)2012 | (b)2013 | (c)2014 | (d)2015 | (e)2016 | (f)Total  |
|--|---------|---------|---------|---------|---------|-----------|
| 7 Amounts from line 4  |         |         |         |         |         |           |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources |         |         |         |         |         |           |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on                             |         |         |         |         |         |           |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)                                 |         |         |         |         |         |           |
| <b>11 Total support.</b> Add lines 7 through 10  |         |         |         |         |         |           |
| 12 Gross receipts from related activities, etc (see instructions)  |         |         |         |         |         | <b>12</b> |

**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ►

**Section C. Computation of Public Support Percentage**

|  |           |  |
|--|-----------|--|
| 14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))  | <b>14</b> |  |
| 15 Public support percentage for 2015 Schedule A, Part II, line 14   | <b>15</b> |  |
| <b>16a 33 1/3% support test—2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization ► <input type="checkbox"/>   |           |  |
| <b>b 33 1/3% support test—2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization ► <input type="checkbox"/>  |           |  |
| <b>17a 10%-facts-and-circumstances test—2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>    |           |  |
| <b>b 10%-facts-and-circumstances test—2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> |           |  |
| <b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/>  |           |  |

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| <b>Calendar year<br/>(or fiscal year beginning in) ►</b>  | <b>(a)2012</b> | <b>(b)2013</b> | <b>(c)2014</b> | <b>(d)2015</b> | <b>(e)2016</b> | <b>(f)Total</b> |
|---|----------------|----------------|----------------|----------------|----------------|-----------------|
| <b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")   | 12,166,753     | 13,575,158     | 14,155,481     | 9,564,385      | 11,968,710     | 61,430,487      |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | 359,727,286    | 371,921,284    | 398,114,580    | 418,381,330    | 433,261,168    | 1,981,405,648   |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513   |                |                |                |                |                | 0               |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf  |                |                |                |                |                | 0               |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge  |                |                |                |                |                | 0               |
| <b>6 Total.</b> Add lines 1 through 5   | 371,894,039    | 385,496,442    | 412,270,061    | 427,945,715    | 445,229,878    | 2,042,836,135   |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons  |                |                |                |                |                | 0               |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year           |                |                |                |                |                | 0               |
| <b>c</b> Add lines 7a and 7b  |                |                |                |                |                | 0               |
| <b>8 Public support.</b> (Subtract line 7c from line 6 )  |                |                |                |                |                | 2,042,836,135   |

**Section B. Total Support**

| <b>Calendar year<br/>(or fiscal year beginning in) ►</b>  | <b>(a)2012</b> | <b>(b)2013</b> | <b>(c)2014</b> | <b>(d)2015</b> | <b>(e)2016</b> | <b>(f)Total</b> |
|---|----------------|----------------|----------------|----------------|----------------|-----------------|
| <b>9</b> Amounts from line 6  | 371,894,039    | 385,496,442    | 412,270,061    | 427,945,715    | 445,229,878    | 2,042,836,135   |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 3,747,350      | 715,390        | 659,867        | 621,343        | 614,462        | 6,358,412       |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975                          |                |                |                |                |                | 0               |
| <b>c</b> Add lines 10a and 10b  | 3,747,350      | 715,390        | 659,867        | 621,343        | 614,462        | 6,358,412       |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on     |                |                |                |                |                | 0               |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI )                                 | 2,207,596      | 2,077,808      | 812,485        | 925,068        | 826,420        | 6,849,377       |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12 )  | 377,848,985    | 388,289,640    | 413,742,413    | 429,492,126    | 446,670,760    | 2,056,043,924   |

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► **Section C. Computation of Public Support Percentage**

|  |           |          |
|--|-----------|----------|
| <b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)) | <b>15</b> | 99 358 % |
| <b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15                      | <b>16</b> | 99 189 % |

**Section D. Computation of Investment Income Percentage**

|   |           |         |
|---|-----------|---------|
| <b>17</b> Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f)) | <b>17</b> | 0 309 % |
| <b>18</b> Investment income percentage from 2015 Schedule A, Part III, line 17                        | <b>18</b> | 0 427 % |

**19a 33 1/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► **b 33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► **20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

|  | <b>Yes</b> | <b>No</b> |
|--|------------|-----------|
| <b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain   | 1          |           |
| <b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2)  | 2          |           |
| <b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below   | 3a         |           |
| <b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination  | 3b         |           |
| <b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use   | 3c         |           |
| <b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below   | 4a         |           |
| <b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations   | 4b         |           |
| <b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes  | 4c         |           |
| <b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document) | 5a         |           |
| <b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?  | 5b         |           |
| <b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?   | 5c         |           |
| <b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .   | 6          |           |
| <b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)   | 7          |           |
| <b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ)  | 8          |           |
| <b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .   | 9a         |           |
| <b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .   | 9b         |           |
| <b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .  | 9c         |           |
| <b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below  | 10a        |           |
| <b>b</b> Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings)   | 10b        |           |

**Part IV Supporting Organizations (continued)**

|   | <b>Yes</b> | <b>No</b> |
|---|------------|-----------|
| 11 Has the organization accepted a gift or contribution from any of the following persons?  |            |           |
| a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? |            |           |
| b A family member of a person described in (a) above?   |            |           |
| c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI  |            |           |
| <b>11a</b>  |            |           |
| <b>11b</b>  |            |           |
| <b>11c</b>  |            |           |

**Section B. Type I Supporting Organizations**

|   | <b>Yes</b> | <b>No</b> |
|---|------------|-----------|
| 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year |            |           |
| 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization  |            |           |
| <b>1</b>  |            |           |
| <b>2</b>  |            |           |

**Section C. Type II Supporting Organizations**

|  | <b>Yes</b> | <b>No</b> |
|--|------------|-----------|
| 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s) |            |           |
| <b>1</b>   |            |           |

**Section D. All Type III Supporting Organizations**

|  | <b>Yes</b> | <b>No</b> |
|--|------------|-----------|
| 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? |            |           |
| <b>1</b>   |            |           |
| 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s)  |            |           |
| <b>2</b>   |            |           |
| 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard   |            |           |
| <b>3</b>   |            |           |

**Section E. Type III Functionally-Integrated Supporting Organizations**

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)
- a  The organization satisfied the Activities Test Complete **line 2** below
  - b  The organization is the parent of each of its supported organizations Complete **line 3** below
  - c  The organization supported a governmental entity Describe in **Part VI** how you supported a government entity (see instructions)

**2 Activities Test Answer (a) and (b) below.**

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in **Part VI** identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities

- b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement

**3 Parent of Supported Organizations Answer (a) and (b) below.**

- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in **Part VI**.

- b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If "Yes," describe in **Part VI** the role played by the organization in this regard

|           | <b>Yes</b> | <b>No</b> |
|-----------|------------|-----------|
| <b>2a</b> |            |           |
| <b>2b</b> |            |           |
| <b>3a</b> |            |           |
| <b>3b</b> |            |           |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

**1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

| <b>Section A - Adjusted Net Income</b> |  | (A) Prior Year | (B) Current Year<br>(optional) |
|--|--|----------------|--------------------------------|
| <b>1</b>                               | Net short-term capital gain  | <b>1</b>       |                                |
| <b>2</b>                               | Recoveries of prior-year distributions   | <b>2</b>       |                                |
| <b>3</b>                               | Other gross income (see instructions)  | <b>3</b>       |                                |
| <b>4</b>                               | Add lines 1 through 3  | <b>4</b>       |                                |
| <b>5</b>                               | Depreciation and depletion   | <b>5</b>       |                                |
| <b>6</b>                               | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | <b>6</b>       |                                |
| <b>7</b>                               | Other expenses (see instructions)  | <b>7</b>       |                                |
| <b>8</b>                               | <b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)   | <b>8</b>       |                                |

| <b>Section B - Minimum Asset Amount</b> |  | (A) Prior Year | (B) Current Year<br>(optional) |
|---|--|----------------|--------------------------------|
| <b>1</b>                                | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year) | <b>1</b>       |                                |
| <b>a</b>                                | Average monthly value of securities  | <b>1a</b>      |                                |
| <b>b</b>                                | Average monthly cash balances  | <b>1b</b>      |                                |
| <b>c</b>                                | Fair market value of other non-exempt-use assets   | <b>1c</b>      |                                |
| <b>d</b>                                | <b>Total</b> (add lines 1a, 1b, and 1c)  | <b>1d</b>      |                                |
| <b>e</b>                                | <b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI)   |                |                                |
| <b>2</b>                                | Acquisition indebtedness applicable to non-exempt use assets   | <b>2</b>       |                                |
| <b>3</b>                                | Subtract line 2 from line 1d   | <b>3</b>       |                                |
| <b>4</b>                                | Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)                                  | <b>4</b>       |                                |
| <b>5</b>                                | Net value of non-exempt-use assets (subtract line 4 from line 3)   | <b>5</b>       |                                |
| <b>6</b>                                | Multiply line 5 by .035  | <b>6</b>       |                                |
| <b>7</b>                                | Recoveries of prior-year distributions   | <b>7</b>       |                                |
| <b>8</b>                                | <b>Minimum Asset Amount</b> (add line 7 to line 6)   | <b>8</b>       |                                |

| <b>Section C - Distributable Amount</b> |  |          | Current Year |
|---|--|----------|--------------|
| <b>1</b>                                | Adjusted net income for prior year (from Section A, line 8, Column A)  | <b>1</b> |              |
| <b>2</b>                                | Enter 85% of line 1  | <b>2</b> |              |
| <b>3</b>                                | Minimum asset amount for prior year (from Section B, line 8, Column A)   | <b>3</b> |              |
| <b>4</b>                                | Enter greater of line 2 or line 3  | <b>4</b> |              |
| <b>5</b>                                | Income tax imposed in prior year   | <b>5</b> |              |
| <b>6</b>                                | <b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)   | <b>6</b> |              |
| <b>7</b>                                | <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions) |          |              |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

| <b>Section D - Distributions</b>  | <b>Current Year</b>             |   |  |
|---|---------------------------------|---|--|
| 1 Amounts paid to supported organizations to accomplish exempt purposes   |                                 |   |  |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity             |                                 |   |  |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations   |                                 |   |  |
| 4 Amounts paid to acquire exempt-use assets   |                                 |   |  |
| 5 Qualified set-aside amounts (prior IRS approval required)   |                                 |   |  |
| 6 Other distributions (describe in Part VI) See instructions  |                                 |   |  |
| <b>7 Total annual distributions.</b> Add lines 1 through 6  |                                 |   |  |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions          |                                 |   |  |
| 9 Distributable amount for 2016 from Section C, line 6  |                                 |   |  |
| <b>10 Line 8 amount divided by Line 9 amount</b>  |                                 |   |  |
| <b>Section E - Distribution Allocations (see instructions)</b>  | <b>(i) Excess Distributions</b> | <b>(ii) Underdistributions Pre-2016</b> | <b>(iii) Distributable Amount for 2016</b> |
| 1 Distributable amount for 2016 from Section C, line 6  |                                 |   |  |
| 2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)   |                                 |   |  |
| 3 Excess distributions carryover, if any, to 2016   |                                 |   |  |
| a   |                                 |   |  |
| b   |                                 |   |  |
| c From 2013. . . . .  |                                 |   |  |
| d From 2014. . . . .  |                                 |   |  |
| e From 2015. . . . .  |                                 |   |  |
| f <b>Total</b> of lines 3a through e  |                                 |   |  |
| g Applied to underdistributions of prior years  |                                 |   |  |
| h Applied to 2016 distributable amount  |                                 |   |  |
| i Carryover from 2011 not applied (see instructions)  |                                 |   |  |
| j Remainder Subtract lines 3g, 3h, and 3i from 3f   |                                 |   |  |
| 4 Distributions for 2016 from Section D, line 7<br>\$   |                                 |   |  |
| a Applied to underdistributions of prior years  |                                 |   |  |
| b Applied to 2016 distributable amount  |                                 |   |  |
| c Remainder Subtract lines 4a and 4b from 4   |                                 |   |  |
| 5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions) |                                 |   |  |
| 6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)                        |                                 |   |  |
| <b>7 Excess distributions carryover to 2017.</b> Add lines 3j and 4c  |                                 |   |  |
| <b>8 Breakdown of line 7</b>  |                                 |   |  |
| a   |                                 |   |  |
| b Excess from 2013. . . . .   |                                 |   |  |
| c Excess from 2014. . . . .   |                                 |   |  |
| d Excess from 2015. . . . .   |                                 |   |  |
| e Excess from 2016. . . . .   |                                 |   |  |

**Part VI****Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

**Facts And Circumstances Test**

**SCHEDULE C**  
(Form 990 or 990-EZ)**Political Campaign and Lobbying Activities****2016****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
 ►Complete if the organization is described below. ►Attach to Form 990 or Form 990-EZ.  
 ►Information about Schedule C (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization  
The Devereux Foundation

Employer identification number

23-1390618

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures
- 3 Volunteer hours

► \$ \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

 Yes  No

4a Was a correction made?

 Yes  No

b If "Yes," describe in Part IV

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b
- 4 Did the filing organization file Form 1120-POL for this year?
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

 Yes  No

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|---|--|
| 2        |             |         |   |  |
| 3        |             |         |   |  |
| 4        |             |         |   |  |
| 5        |             |         |   |  |
| 6        |             |         |   |  |

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A Check ►  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B Check ►  if the filing organization checked box A and "limited control" provisions apply

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)

**1a** Total lobbying expenditures to influence public opinion (grass roots lobbying)

|   |             |
|---|-------------|
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying)        | 88,625      |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b)                                    | 88,625      |
| <b>d</b> Other exempt purpose expenditures  | 439,641,140 |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d)                              | 439,729,765 |
| <b>f</b> Lobbying nontaxable amount Enter the amount from the following table in both columns | 1,000,000   |

| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is:                |
|---|---|
| Not over \$500,000                              | 20% of the amount on line 1e                      |
| Over \$500,000 but not over \$1,000,000         | \$100,000 plus 15% of the excess over \$500,000   |
| Over \$1,000,000 but not over \$1,500,000       | \$175,000 plus 10% of the excess over \$1,000,000 |
| Over \$1,500,000 but not over \$17,000,000      | \$225,000 plus 5% of the excess over \$1,500,000  |
| Over \$17,000,000                               | \$1,000,000                                       |

**g** Grassroots nontaxable amount (enter 25% of line 1f)

**h** Subtract line 1g from line 1a If zero or less, enter -0-

Yes  No

**i** Subtract line 1f from line 1c If zero or less, enter -0-

**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

**4-Year Averaging Period Under section 501(h)**  
**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)**

**Lobbying Expenditures During 4-Year Averaging Period**

| Calendar year (or fiscal year beginning in)                         | (a) 2013  | (b) 2014  | (c) 2015  | (d) 2016  | (e) Total |
|---|-----------|-----------|-----------|-----------|-----------|
| <b>2a</b> Lobbying nontaxable amount                                | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 4,000,000 |
| <b>b</b> Lobbying ceiling amount<br>(150% of line 2a, column(e))    |           |           |           |           | 6,000,000 |
| <b>c</b> Total lobbying expenditures                                | 71,477    | 68,786    | 81,720    | 88,625    | 310,608   |
| <b>d</b> Grassroots nontaxable amount                               | 250,000   | 250,000   | 250,000   | 250,000   | 1,000,000 |
| <b>e</b> Grassroots ceiling amount<br>(150% of line 2d, column (e)) |           |           |           |           | 1,500,000 |
| <b>f</b> Grassroots lobbying expenditures                           |           |           |           |           |           |

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity

- |  | (a)    | (b) |
|--|--------|-----|
|  | Yes    | No  |
|  | Amount |     |
| 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of |        |     |
| a Volunteers?  |        |     |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?   |        |     |
| c Media advertisements?  |        |     |
| d Mailings to members, legislators, or the public?   |        |     |
| e Publications, or published or broadcast statements?  |        |     |
| f Grants to other organizations for lobbying purposes?   |        |     |
| g Direct contact with legislators, their staffs, government officials, or a legislative body?  |        |     |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?  |        |     |
| i Other activities?  |        |     |
| j Total Add lines 1c through 1i  |        |     |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?   |        |     |
| b If "Yes," enter the amount of any tax incurred under section 4912  |        |     |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912   |        |     |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?   |        |     |

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

- |   | Yes | No |
|---|-----|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members?                      | 1   |    |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?                 | 2   |    |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? | 3   |    |

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

- |  | 1  |  |
|--|----|--|
| 1 Dues, assessments and similar amounts from members   | 1  |  |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).   | 2a |  |
| a Current year   | 2b |  |
| b Carryover from last year   | 2c |  |
| c Total  | 3  |  |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues  | 4  |  |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 5  |  |
| 5 Taxable amount of lobbying and political expenditures (see instructions)   |    |  |

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information

| Return Reference | Explanation  |
|------------------|--|
| Part II-A        | Devereux's lobbying activities relate to garnering greater support for individuals with behavioral, intellectual and developmental disabilities and educating public officials about Devereux's services |

**SCHEDULE D**  
(Form 990)**Supplemental Financial Statements**

OMB No 1545-0047

**2016****Open to Public  
Inspection**Department of the Treasury  
Internal Revenue Service

► Complete if the organization answered "Yes," on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
► Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**Name of the organization**  
The Devereux Foundation**Employer identification number**  
23-1390618**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

|   | (a) Donor advised funds | (b) Funds and other accounts                             |
|---|-------------------------|--|
| 1 Total number at end of year   |                         |  |
| 2 Aggregate value of contributions to (during year)   |                         |  |
| 3 Aggregate value of grants from (during year)  |                         |  |
| 4 Aggregate value at end of year  |                         |  |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? |                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

|   |  |  |
|---|--|--|
| 1 Purpose(s) of conservation easements held by the organization (check all that apply)  |  |  |
| <input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)  | <input type="checkbox"/> Preservation of an historically important land area |  |
| <input type="checkbox"/> Protection of natural habitat  | <input type="checkbox"/> Preservation of a certified historic structure      |  |
| <input type="checkbox"/> Preservation of open space   |  |  |
| 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year  | <b>Held at the End of the Year</b>   |  |
| a Total number of conservation easements  | 2a   |  |
| b Total acreage restricted by conservation easements  | 2b   |  |
| c Number of conservation easements on a certified historic structure included in (a)  | 2c   |  |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register  | 2d   |  |
| 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►   |  |  |
| 4 Number of states where property subject to conservation easement is located ►   |  |  |
| 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  | <input type="checkbox"/> Yes <input type="checkbox"/> No                     |  |
| 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►   |  |  |
| 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$  |  |  |
| 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?   | <input type="checkbox"/> Yes <input type="checkbox"/> No                     |  |
| 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements |  |  |

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

|   |      |
|---|------|
| 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items |      |
| b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items   |      |
| (i) Revenue included on Form 990, Part VIII, line 1   | ► \$ |
| (ii) Assets included in Form 990, Part X  | ► \$ |
| 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items   |      |
| a Revenue included on Form 990, Part VIII, line 1   | ► \$ |
| b Assets included in Form 990, Part X   | ► \$ |

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a**  Public exhibition
- d**  Loan or exchange programs
- b**  Scholarly research
- e**  Other
- c**  Preservation for future generations

**4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

**5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

Yes  No

**b** If "Yes," explain the arrangement in Part XIII and complete the following table

**c** Beginning balance

**d** Additions during the year

**e** Distributions during the year

**f** Ending balance

|           | Amount |
|-----------|--------|
| <b>1c</b> |        |
| <b>1d</b> |        |
| <b>1e</b> |        |
| <b>1f</b> |        |

**2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

Yes  No

**b** If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . .

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

|   | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|------------------|----------------|--------------------|----------------------|---------------------|
| <b>1a</b> Beginning of year balance . . . . .                     | 109,046,000      | 114,397,000    | 113,107,000        | 92,722,000           | 83,169,000          |
| <b>b</b> Contributions . . . . .                                  | 13,924,555       | 978,094        | 5,126,741          | 6,934,697            | 3,231,190           |
| <b>c</b> Net investment earnings, gains, and losses               | 13,153,531       | -2,245,101     | -1,041,479         | 14,904,265           | 11,128,356          |
| <b>d</b> Grants or scholarships . . . . .                         |                  |                |                    |                      |                     |
| <b>e</b> Other expenditures for facilities and programs . . . . . | 5,848,177        | 3,959,044      | 2,670,589          | 1,334,285            | 4,691,870           |
| <b>f</b> Administrative expenses . . . . .                        | 124,909          | 124,949        | 124,673            | 119,677              | 114,676             |
| <b>g</b> End of year balance . . . . .                            | 130,151,000      | 109,046,000    | 114,397,000        | 113,107,000          | 92,722,000          |

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

**a** Board designated or quasi-endowment ► 87 000 %

**b** Permanent endowment ► 4 000 %

**c** Temporarily restricted endowment ► 9 000 %

The percentages on lines 2a, 2b, and 2c should equal 100%

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by

|               | Yes | No |
|---------------|-----|----|
| <b>3a(i)</b>  |     | No |
| <b>3a(ii)</b> | Yes |    |
| <b>3b</b>     | Yes |    |

**b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? . . . . .

**4** Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property   | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| <b>1a</b> Land . . . . .  |                                      | 6,228,665                       |                              | 6,228,665      |
| <b>b</b> Buildings  |                                      | 187,889,128                     | 116,607,725                  | 71,281,402     |
| <b>c</b> Leasehold improvements   |                                      | 8,852,641                       | 2,404,749                    | 6,447,892      |
| <b>d</b> Equipment . . . . .  |                                      | 39,868,002                      | 32,870,747                   | 6,997,255      |
| <b>e</b> Other . . . . .  |                                      | 72,764,723                      | 60,892,937                   | 11,871,786     |
| <b>Total.</b> Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c) ) . . . ► |                                      |                                 |                              | 102,827,000    |

**Part VII Investments—Other Securities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.  
 See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security)    | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|--|----------------|---|
| (1) Financial derivatives  |                |   |
| (2) Closely-held equity interests  |                |   |
| (3) Other _____  |                |   |
| (A) _____  |                |   |
| (B) _____  |                |   |
| (C) _____  |                |   |
| (D) _____  |                |   |
| (E) _____  |                |   |
| (F) _____  |                |   |
| (G) _____  |                |   |
| (H) _____  |                |   |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 12 ) ► |                |   |

**Part VIII Investments—Program Related.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.  
 See Form 990, Part X, line 13.

| (a) Description of investment  | (b) Book value | (c) Method of valuation<br>Cost or end-of-year market value |
|--|----------------|---|
| (1) _____  |                |   |
| (2) _____  |                |   |
| (3) _____  |                |   |
| (4) _____  |                |   |
| (5) _____  |                |   |
| (6) _____  |                |   |
| (7) _____  |                |   |
| (8) _____  |                |   |
| (9) _____  |                |   |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 13 ) ► |                |   |

**Part IX Other Assets.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description | (b) Book value |
|-----------------|----------------|
| (1) _____       |                |
| (2) _____       |                |
| (3) _____       |                |
| (4) _____       |                |
| (5) _____       |                |
| (6) _____       |                |
| (7) _____       |                |
| (8) _____       |                |
| (9) _____       |                |

**Total.** (Column (b) must equal Form 990, Part X, col (B) line 15 ) ►

**Part X Other Liabilities.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.  
 See Form 990, Part X, line 25.

| (a) Description of liability   | (b) Book value |
|--|----------------|
| (1) Federal income taxes   | 0              |
| ESTIMATED 3RD PARTY PAYOR SETTLEMENTS                                      | 16,878,000     |
| CONTINUING CARE OBLIGATION   | 6,323,000      |
| LOAN FROM HELENA DEVEREUX FOUNDATION                                       | 3,478,000      |
| OTHER  | 3,004,000      |
| ORIGINAL ISSUE PREMIUM   | 1,993,000      |
| (6) _____  |                |
| (7) _____  |                |
| (8) _____  |                |
| (9) _____  |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 25 ) ► | 31,676,000     |

**2. Liability for uncertain tax positions** In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|  |    |  |
|--|----|--|
| 1 Total revenue, gains, and other support per audited financial statements . . . . .       | 1  |  |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12                       |    |  |
| a Net unrealized gains (losses) on investments . . . . .                                   | 2a |  |
| b Donated services and use of facilities . . . . .   | 2b |  |
| c Recoveries of prior year grants . . . . .  | 2c |  |
| d Other (Describe in Part XIII ) . . . . .   | 2d |  |
| e Add lines 2a through 2d . . . . .  | 2e |  |
| 3 Subtract line 2e from line 1 . . . . .   | 3  |  |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1                      |    |  |
| a Investment expenses not included on Form 990, Part VIII, line 7b . . . . .               | 4a |  |
| b Other (Describe in Part XIII ) . . . . .   | 4b |  |
| c Add lines 4a and 4b . . . . .  | 4c |  |
| 5 Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12 ) . . . . . | 5  |  |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|   |    |  |
|---|----|--|
| 1 Total expenses and losses per audited financial statements . . . . .                      | 1  |  |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25                          |    |  |
| a Donated services and use of facilities . . . . .  | 2a |  |
| b Prior year adjustments . . . . .  | 2b |  |
| c Other losses . . . . .  | 2c |  |
| d Other (Describe in Part XIII ) . . . . .  | 2d |  |
| e Add lines 2a through 2d . . . . .   | 2e |  |
| 3 Subtract line 2e from line 1 . . . . .  | 3  |  |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1:                        |    |  |
| a Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                | 4a |  |
| b Other (Describe in Part XIII ) . . . . .  | 4b |  |
| c Add lines 4a and 4b . . . . .   | 4c |  |
| 5 Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18 ) . . . . . | 5  |  |

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information

| Return Reference          | Explanation |
|---------------------------|-------------|
| See Additional Data Table |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |
|                           |             |

## **Part XIII      Supplemental Information (*continued*)**

| Return Reference | Explanation |
|------------------|-------------|
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## **Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 23-1390618  
**Name:** The Devereux Foundation

### **Supplemental Information**

| Return Reference | Explanation   |
|------------------|---|
| Endowment Funds  | The endowment funds are held by a related non-profit organization, Helena Devereux Foundation (HDF). HDF invests funds transferred to it from Devereux and uses the earnings from these investments to further Devereux's mission of changing lives and nurturing human potential through a wide range of services and supports for individuals and families. The end of year balance for the HDF endowment is \$130,151,000. Endowment funds are used to support Devereux's programs through the implementation of a spending rule, which is in accordance with Commonwealth of Pennsylvania Act 141 and currently calls for an annual distribution equivalent to approximately 4.5% of the average endowment balance over the last 3 years. |

## **Supplemental Information**

| Return Reference | Explanation   |
|------------------|---|
| Part IV Line 2b  | Devereux maintains Social Security client trust funds and other amounts held for current needs of its clients and residents receiving treatment services. These funds are required to be maintained in separate accounts. |

## **Supplemental Information**

| Return Reference | Explanation  |
|------------------|--|
| Part X Line 2    | The IRS determined that Devereux qualifies under the Internal Revenue Code and is exempt from federal income taxes. Accordingly, no provision for federal or state income taxes is included in the consolidated financial statements. Devereux has reviewed the technical merits of each of its tax positions in accordance with U.S. GAAP for uncertainty in income taxes, and have determined there are no uncertain tax positions that would have a material impact on the consolidated financial statements. |

**SCHEDULE G  
(Form 990 or 990-EZ)****Supplemental Information Regarding  
Fundraising or Gaming Activities****2016****Open to Public  
Inspection**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Department of the Treasury  
Internal Revenue ServiceName of the organization  
The Devereux Foundation**Employer identification number**

23-1390618

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17.  
Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities Check all that apply

- |  |   |
|--|---|
| <b>a</b> <input type="checkbox"/> Mail solicitations               | <b>e</b> <input type="checkbox"/> Solicitation of non-government grants |
| <b>b</b> <input type="checkbox"/> Internet and email solicitations | <b>f</b> <input type="checkbox"/> Solicitation of government grants     |
| <b>c</b> <input type="checkbox"/> Phone solicitations              | <b>g</b> <input type="checkbox"/> Special fundraising events            |
| <b>d</b> <input type="checkbox"/> In-person solicitations          |   |

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? |    | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col (i) | (vi) Amount paid to (or retained by) organization |
|---|---------------|--|----|-----------------------------------|--|---|
| 1   |               | Yes  | No |                                   |  |   |
|   |               |  |    |                                   |  |   |
| 2   |               |  |    |                                   |  |   |
| 3   |               |  |    |                                   |  |   |
| 4   |               |  |    |                                   |  |   |
| 5   |               |  |    |                                   |  |   |
| 6   |               |  |    |                                   |  |   |
| 7   |               |  |    |                                   |  |   |
| 8   |               |  |    |                                   |  |   |
| 9   |               |  |    |                                   |  |   |
| 10  |               |  |    |                                   |  |   |
| <b>Total</b>  |               |  |    |                                   |  |   |

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|   | (a) Event #1<br><b>PA GALA</b><br>(event type) | (b) Event #2<br><b>BRYANT PK GRILL</b><br>(event type) | (c) Other events<br><b>11</b><br>(total number) | (d) Total events<br>(add col (a) through col (c)) |
|---|--|--|---|---|
| <b>Revenue</b>  |  |  |   |   |
| <b>1</b> Gross receipts . . . . .   | 406,805  | 274,250  | 1,089,795                                       | 1,770,850   |
| <b>2</b> Less Contributions . . . . .   | 126,926  | 147,787  | 616,980   | 891,693   |
| <b>3</b> Gross income (line 1 minus line 2) . . . . .                             | 279,879  | 126,463  | 472,815   | 879,157   |
| <b>Direct Expenses</b>  |  |  |   |   |
| <b>4</b> Cash prizes . . . . .  |  |  | 2,055   | 2,055   |
| <b>5</b> Noncash prizes . . . . .   | 4,270  | 4,100  | 31,205  | 39,575  |
| <b>6</b> Rent/facility costs . . . . .  | 8,866  | 33,750   | 169,681   | 212,297   |
| <b>7</b> Food and beverages . . . . .   | 45,100   |  | 105,463   | 150,563   |
| <b>8</b> Entertainment . . . . .  | 16,270   | 5,800  | 23,322  | 45,392  |
| <b>9</b> Other direct expenses . . . . .  | 108,527  | 35,134   | 114,495   | 258,156   |
| <b>10</b> Direct expense summary Add lines 4 through 9 in column (d) . . . . . ►  |  |  |   | 708,038   |
| <b>11</b> Net income summary Subtract line 10 from line 3, column (d) . . . . . ► |  |  |   | 171,119   |

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|  | (a) Bingo   | (b) Pull tabs/Instant bingo/progressive bingo                       | (c) Other gaming  | (d) Total gaming (add col (a) through col (c)) |
|--|---|---|---|--|
| <b>Revenue</b>   |   |   |   |  |
| <b>1</b> Gross revenue . . . . .   |   |   |   |  |
| <b>Direct Expenses</b>   |   |   |   |  |
| <b>2</b> Cash prizes . . . . .   |   |   |   |  |
| <b>3</b> Noncash prizes . . . . .  |   |   |   |  |
| <b>4</b> Rent/facility costs . . . . .   |   |   |   |  |
| <b>5</b> Other direct expenses . . . . .   |   |   |   |  |
| <b>6</b> Volunteer labor . . . . .   | <input type="checkbox"/> Yes ..... %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes ..... %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes ..... %<br><input type="checkbox"/> No |  |
| <b>7</b> Direct expense summary Add lines 2 through 5 in column (d) . . . . . ►        |   |   |   |  |
| <b>8</b> Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ► |   |   |   |  |

**9** Enter the state(s) in which the organization conducts gaming activities \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

**b** If "No," explain \_\_\_\_\_

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

**b** If "Yes," explain \_\_\_\_\_

|   |  |  |   |
|---|--|--|---|
| <b>11</b>   | Does the organization conduct gaming activities with nonmembers?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| <b>12</b>   | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?                                    | <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| <b>13</b>   | Indicate the percentage of gaming activity conducted in  |  |   |
| <b>a</b>  | The organization's facility  | <b>13a</b> %   |   |
| <b>b</b>  | An outside facility  | <b>13b</b> %   |   |
| <b>14</b>   | Enter the name and address of the person who prepares the organization's gaming/special events books and records   |  |   |
| <b>Name ►</b> _____   |  |  |   |
| <b>Address ►</b> _____  |  |  |   |
| <b>15a</b>  | Does the organization have a contract with a third party from whom the organization receives gaming revenue?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| <b>b</b>  | If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____                             |  |   |
| <b>c</b>  | If "Yes," enter name and address of the third party  |  |   |
| <b>Name ►</b> _____   |  |  |   |
| <b>Address ►</b> _____  |  |  |   |
| <b>16</b>   | Gaming manager information   |  |   |
| <b>Name ►</b> _____   |  |  |   |
| <b>Gaming manager compensation ► \$</b> _____   |  |  |   |
| <b>Description of services provided ►</b> _____   |  |  |   |
| <input type="checkbox"/> Director/officer   |  | <input type="checkbox"/> Employee                        | <input type="checkbox"/> Independent contractor |
| <b>17</b>   | Mandatory distributions  |  |   |
| <b>a</b>  | Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| <b>b</b>  | Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____ |  |   |
| <b>Part IV Supplemental Information.</b> Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions). |  |  |   |
| <b>Return Reference</b>   |  | <b>Explanation</b>                                       |   |

**SCHEDULE H  
(Form 990)****Hospitals**

OMB No 1545-0047

Department of the Treasury

Internal Revenue Service  
Name of the organization  
The Devereux Foundation

► Complete if the organization answered "Yes" on Form 990, Part IV, question 20.

► Attach to Form 990.

► Information about Schedule H (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**2016**

Open to Public Inspection

Employer identification number

23-1390618

**Part I Financial Assistance and Certain Other Community Benefits at Cost**

|           |  | Yes       | No  |
|-----------|--|-----------|-----|
| <b>1a</b> | Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a  | <b>1a</b> | Yes |
| <b>b</b>  | If "Yes," was it a written policy?   | <b>1b</b> | Yes |
| <b>2</b>  | If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year   |           |     |
|           | <input type="checkbox"/> Applied uniformly to all hospital facilities <input type="checkbox"/> Applied uniformly to most hospital facilities   |           |     |
|           | <input type="checkbox"/> Generally tailored to individual hospital facilities  |           |     |
| <b>3</b>  | Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year  |           |     |
| <b>a</b>  | Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing <i>free</i> care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for <i>free</i> care  | <b>3a</b> | Yes |
|           | <input type="checkbox"/> 100% <input type="checkbox"/> 150% <input checked="" type="checkbox"/> 200% <input type="checkbox"/> Other _____ %  |           |     |
| <b>b</b>  | Did the organization use FPG as a factor in determining eligibility for providing <i>discounted</i> care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care  | <b>3b</b> | Yes |
|           | <input type="checkbox"/> 200% <input type="checkbox"/> 250% <input type="checkbox"/> 300% <input type="checkbox"/> 350% <input checked="" type="checkbox"/> 400% <input type="checkbox"/> Other _____ %  |           |     |
| <b>c</b>  | If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care |           |     |
| <b>4</b>  | Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"?   | <b>4</b>  | Yes |
| <b>5a</b> | Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year?  | <b>5a</b> | Yes |
| <b>b</b>  | If "Yes," did the organization's financial assistance expenses exceed the budgeted amount?   | <b>5b</b> | Yes |
| <b>c</b>  | If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care?   | <b>5c</b> | No  |
| <b>6a</b> | Did the organization prepare a community benefit report during the tax year?   | <b>6a</b> | Yes |
| <b>b</b>  | If "Yes," did the organization make it available to the public?  | <b>6b</b> | Yes |
|           | Complete the following table using the worksheets provided in the Schedule H instructions. Do not submit these worksheets with the Schedule H  |           |     |

**7 Financial Assistance and Certain Other Community Benefits at Cost**

| Financial Assistance and Means-Tested Government Programs  | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community benefit expense | (d) Direct offsetting revenue | (e) Net community benefit expense | (f) Percent of total expense |
|--|---|-------------------------------|-------------------------------------|-------------------------------|-----------------------------------|------------------------------|
| <b>a</b> Financial Assistance at cost (from Worksheet 1)   |   |                               |                                     |                               |                                   |                              |
| <b>b</b> Medicaid (from Worksheet 3, column a)   |   |                               | 7,686,830                           | 7,251,150                     | 435,680                           |                              |
| <b>c</b> Costs of other means-tested government programs (from Worksheet 3, column b)              |   |                               |                                     |                               |                                   |                              |
| <b>d</b> <b>Total</b> Financial Assistance and Means-Tested Government Programs                    |   |                               | 7,686,830                           | 7,251,150                     | 435,680                           | 0 %                          |
| Other Benefits   |   |                               |                                     |                               |                                   |                              |
| <b>e</b> Community health improvement services and community benefit operations (from Worksheet 4) |   |                               |                                     |                               |                                   |                              |
| <b>f</b> Health professions education (from Worksheet 5)   |   |                               |                                     |                               |                                   |                              |
| <b>g</b> Subsidized health services (from Worksheet 6)   |   |                               |                                     |                               |                                   |                              |
| <b>h</b> Research (from Worksheet 7)   |   |                               |                                     |                               |                                   |                              |
| <b>i</b> Cash and in-kind contributions for community benefit (from Worksheet 8)                   |   |                               |                                     |                               |                                   |                              |
| <b>j</b> <b>Total</b> Other Benefits   |   |                               |                                     |                               |                                   |                              |
| <b>k</b> <b>Total</b> Add lines 7d and 7j  |   |                               | 7,686,830                           | 7,251,150                     | 435,680                           | 0 %                          |

**Part II Community Building Activities** Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

|   | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community building expense | (d) Direct offsetting revenue | (e) Net community building expense | (f) Percent of total expense |
|---|---|-------------------------------|--------------------------------------|-------------------------------|------------------------------------|------------------------------|
| 1 Physical improvements and housing                         |   |                               |                                      |                               |                                    |                              |
| 2 Economic development                                      |   |                               |                                      |                               |                                    |                              |
| 3 Community support   |   |                               |                                      |                               |                                    |                              |
| 4 Environmental improvements                                |   |                               |                                      |                               |                                    |                              |
| 5 Leadership development and training for community members |   |                               |                                      |                               |                                    |                              |
| 6 Coalition building  |   |                               |                                      |                               |                                    |                              |
| 7 Community health improvement advocacy                     |   |                               |                                      |                               |                                    |                              |
| 8 Workforce development                                     |   |                               |                                      |                               |                                    |                              |
| 9 Other   |   |                               |                                      |                               |                                    |                              |
| <b>10 Total</b>   |   |                               |                                      |                               |                                    |                              |

**Part III Bad Debt, Medicare, & Collection Practices**

**Section A. Bad Debt Expense**

|   |   | Yes    | No |
|---|---|--------|----|
| 1 Did the organization report bad debt expense in accordance with Healthcare Financial Management Association Statement No 15? . . . . .  | 1 | Yes    |    |
| 2 Enter the amount of the organization's bad debt expense Explain in Part VI the methodology used by the organization to estimate this amount . . . . .   | 2 | 36,000 |    |
| 3 Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit . . . . . | 3 | 48,000 |    |
| 4 Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements  |   |        |    |

**Section B. Medicare**

|   |   |  |
|---|---|--|
| 5 Enter total revenue received from Medicare (including DSH and IME) . . . . .  | 5 |  |
| 6 Enter Medicare allowable costs of care relating to payments on line 5 . . . . .   | 6 |  |
| 7 Subtract line 6 from line 5 This is the surplus (or shortfall) . . . . .  | 7 |  |
| 8 Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6 Check the box that describes the method used |   |  |

Cost accounting system       Cost to charge ratio       Other

**Section C. Collection Practices**

|   |    |
|---|----|
| 9a Did the organization have a written debt collection policy during the tax year? . . . . .  | 9a |
| b If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI . . . . . | 9b |

**Part IV Management Companies and Joint Ventures**

(owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

|      | (a) Name of entity | (b) Description of primary activity of entity | (c) Organization's profit % or stock ownership % | (d) Officers, directors, trustees, or key employees' profit % or stock ownership % | (e) Physicians' profit % or stock ownership % |
|------|--------------------|---|--|--|---|
| 1 NA |                    |   |  |  |   |
| 2    |                    |   |  |  |   |
| 3    |                    |   |  |  |   |
| 4    |                    |   |  |  |   |
| 5    |                    |   |  |  |   |
| 6    |                    |   |  |  |   |
| 7    |                    |   |  |  |   |
| 8    |                    |   |  |  |   |
| 9    |                    |   |  |  |   |
| 10   |                    |   |  |  |   |
| 11   |                    |   |  |  |   |
| 12   |                    |   |  |  |   |
| 13   |                    |   |  |  |   |

## **Part V Facility Information**

## **Section A. Hospital Facilities**

(list in order of size from largest to smallest—see instructions)

How many hospital facilities did the organization operate during the tax year?

1

Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)

**Part V Facility Information (continued)****Section B. Facility Policies and Practices**

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)

Childrens Behavioral Health Center

**Name of hospital facility or letter of facility reporting group****Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A):**

1

|  |     | <b>Yes</b> | <b>No</b> |
|--|-----|------------|-----------|
| <b>Community Health Needs Assessment</b>   |     |            |           |
| 1 Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year? . . . . .   | 1   | No         |           |
| 2 Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C . . . . .  | 2   | No         |           |
| 3 During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12 . . . . .   | 3   | Yes        |           |
| If "Yes," indicate what the CHNA report describes (check all that apply)   |     |            |           |
| a <input checked="" type="checkbox"/> A definition of the community served by the hospital facility  |     |            |           |
| b <input checked="" type="checkbox"/> Demographics of the community  |     |            |           |
| c <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community  |     |            |           |
| d <input checked="" type="checkbox"/> How data was obtained  |     |            |           |
| e <input checked="" type="checkbox"/> The significant health needs of the community  |     |            |           |
| f <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups  |     |            |           |
| g <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs  |     |            |           |
| h <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests   |     |            |           |
| i <input checked="" type="checkbox"/> The impact of any actions taken to address the significant health needs identified in the hospital facility's prior CHNA(s)  |     |            |           |
| j <input type="checkbox"/> Other (describe in Section C)   |     |            |           |
| 4 Indicate the tax year the hospital facility last conducted a CHNA 20 <u>16</u>   | 5   | Yes        |           |
| 5 In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted . . . . . |     |            |           |
| 6 a Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C . . . . .   | 6a  | No         |           |
| b Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities? If "Yes," list the other organizations in Section C . . . . .  | 6b  | No         |           |
| 7 Did the hospital facility make its CHNA report widely available to the public? . . . . .   | 7   | Yes        |           |
| If "Yes," indicate how the CHNA report was made widely available (check all that apply)  |     |            |           |
| a <input checked="" type="checkbox"/> Hospital facility's website (list url) <u>www devereux org</u>   |     |            |           |
| b <input type="checkbox"/> Other website (list url) _____  |     |            |           |
| c <input checked="" type="checkbox"/> Made a paper copy available for public inspection without charge at the hospital facility  |     |            |           |
| d <input type="checkbox"/> Other (describe in Section C)   |     |            |           |
| 8 Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11 . . . . .  | 8   | Yes        |           |
| 9 Indicate the tax year the hospital facility last adopted an implementation strategy 20 <u>16</u>   |     |            |           |
| 10 Is the hospital facility's most recently adopted implementation strategy posted on a website? . . . . .   | 10  | Yes        |           |
| If "Yes" (list url) <u>www devereux org</u>  |     |            |           |
| a  |     |            |           |
| b If "No," is the hospital facility's most recently adopted implementation strategy attached to this return? . . . . .   | 10b |            |           |
| 11 Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed   |     |            |           |
| 12a Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)? . . . . .  | 12a | No         |           |
| b If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax? . . . . .   | 12b |            |           |
| c If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____  |     |            |           |

**Part V Facility Information (continued)****Financial Assistance Policy (FAP)**

Childrens Behavioral Health Center

**Name of hospital facility or letter of facility reporting group** \_\_\_\_\_

|           | <b>Yes</b> | <b>No</b> |
|-----------|------------|-----------|
| <b>13</b> | Yes        |           |
| <b>14</b> | Yes        |           |
| <b>15</b> | Yes        |           |
| <b>16</b> | Yes        |           |

Did the hospital facility have in place during the tax year a written financial assistance policy that

**13** Explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care?  
If "Yes," indicate the eligibility criteria explained in the FAP

- a  Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of 200 % and FPG family income limit for eligibility for discounted care of 400 %
- b  Income level other than FPG (describe in Section C)
- c  Asset level
- d  Medical indigency
- e  Insurance status
- f  Underinsurance discount
- g  Residency
- h  Other (describe in Section C)

**14** Explained the basis for calculating amounts charged to patients? . . . . .**15** Explained the method for applying for financial assistance? . . . . .  
If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply)

- a  Described the information the hospital facility may require an individual to provide as part of his or her application
- b  Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application
- c  Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process
- d  Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications
- e  Other (describe in Section C)

**16** Was widely publicized within the community served by the hospital facility? . . . . .

If "Yes," indicate how the hospital facility publicized the policy (check all that apply)

- a  The FAP was widely available on a website (list url)  
\_\_\_\_\_
- b  The FAP application form was widely available on a website (list url)  
\_\_\_\_\_
- c  A plain language summary of the FAP was widely available on a website (list url)  
\_\_\_\_\_
- d  The FAP was available upon request and without charge (in public locations in the hospital facility and by mail)
- e  The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail)
- f  A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail)
- g  Individuals were notified about the FAP by being offered a paper copy of the plain language summary of the FAP, by receiving a conspicuous written notice about the FAP on their billing statements, and via conspicuous public displays or other measures reasonably calculated to attract patients' attention
- h  Notified members of the community who are most likely to require financial assistance about availability of the FAP
- i  The FAP, FAP application form, and plain language summary of the FAP were translated into the primary language(s) spoken by LEP populations
- j  Other (describe in Section C)

**Part V Facility Information (continued)****Billing and Collections**

Childrens Behavioral Health Center

**Name of hospital facility or letter of facility reporting group**

- 17** Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon non-payment? . . . . .
- 18** Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP
- a  Reporting to credit agency(ies)  
 b  Selling an individual's debt to another party  
 c  Deferring, denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous bill for care covered under the hospital facility's FAP  
 d  Actions that require a legal or judicial process  
 e  Other similar actions (describe in Section C)  
 f  None of these actions or other similar actions were permitted
- 19** Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? . . . . .  
 If "Yes," check all actions in which the hospital facility or a third party engaged
- a  Reporting to credit agency(ies)  
 b  Selling an individual's debt to another party  
 c  Deferring , denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous bill for care covered under the hospital facility's FAP  
 d  Actions that require a legal or judicial process  
 e  Other similar actions (describe in Section C)
- 20** Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19 (check all that apply)
- a  Provided a written notice about upcoming ECAs (Extraordinary Collection Action) and a plain language summary of the FAP at least 30 days before initiating those ECAs  
 b  Made a reasonable effort to orally notify individuals about the FAP and FAP application process  
 c  Processed incomplete and complete FAP applications  
 d  Made presumptive eligibility determinations  
 e  Other (describe in Section C)  
 f  None of these efforts were made

|           | <b>Yes</b> | <b>No</b> |
|-----------|------------|-----------|
| <b>17</b> | Yes        |           |
| <b>19</b> |            | No        |
|           |            |           |
|           |            |           |

**Policy Relating to Emergency Medical Care**

- 21** Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy? . . . . .  
 If "No," indicate why
- a  The hospital facility did not provide care for any emergency medical conditions  
 b  The hospital facility's policy was not in writing  
 c  The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section C)  
 d  Other (describe in Section C)

|  | <b>21</b> |    |
|--|-----------|----|
|  |           | No |
|  |           |    |
|  |           |    |

**Part V Facility Information (continued)****Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals)**

Childrens Behavioral Health Center

**Name of hospital facility or letter of facility reporting group**

**22** Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care

- a  The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service during a prior 12-month period
- b  The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- c  The hospital facility used a look-back method based on claims allowed by Medicaid, either alone or in combination with Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- d  The hospital facility used a prospective Medicare or Medicaid method

**23** During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care? . . . . .

If "Yes," explain in Section C

**24** During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? . . . . .

If "Yes," explain in Section C

|           | <b>Yes</b> | <b>No</b> |
|-----------|------------|-----------|
| <b>23</b> |            |           |
| <b>24</b> |            |           |

**Part V Facility Information (continued)**

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16j, 18e, 19e, 20e, 21c, 21d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

| Form and Line Reference | Explanation |
|-------------------------|-------------|
| See Add'l Data          |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |
|                         |             |

**Part V Facility Information (continued)****Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? 19

| Name and address | Type of Facility (describe) |
|------------------|-----------------------------|
| 1                | See Additional Data Table   |
| 2                |                             |
| 3                |                             |
| 4                |                             |
| 5                |                             |
| 6                |                             |
| 7                |                             |
| 8                |                             |
| 9                |                             |
| 10               |                             |

**Part VI Supplemental Information**

Provide the following information

- 1 Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7, Part II and Part III, lines 2, 3, 4, 8 and 9b
- 2 Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B
- 3 Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy
- 4 Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves
- 5 Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.)
- 6 Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served
- 7 State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report

**990 Schedule H, Supplemental Information**

| Form and Line Reference | Explanation  |
|-------------------------|--|
| Schedule H Part VI      | Devereux operates the Children's Behavioral Health Center in Malvern, PA, a 49-bed private psychiatric facility that provides care to children and adolescents ages 4 - 17   |
| Schedule H Part I       | In advancement of its charitable mission, Devereux accepts clients with limited or no ability to pay for services. A client is classified as a charity client based on established written policies. Charity services are defined as those for which no payment is anticipated. In assessing a client's ability to pay, Devereux uses federal poverty income levels, but also includes cases where incurred charges are significant relative to income. Under certain governmental reimbursement programs, Devereux has been paid an amount less than actual costs due to agency budgeting constraints or other factors. The economic loss attributable to such programs is also reported as charity care. Charity care amounts are not included in net client revenue or accounts receivable. The amount of charges forgone, based on established rates, for services provided to clients that qualify for charity care and the economic shortfall attributable to unreimbursed costs of certain programs for all Devereux locations aggregated \$11,664,000 and \$11,092,000 in 2017 and 2016, respectively. |

# **990 Schedule H, Supplemental Information**

| Form and Line Reference | Explanation  |
|-------------------------|--|
| Schedule H Part I       | Devereux also provides a variety of services and benefits within the communities in which it operates, for which no compensation is received. The cost of these services has not been quantified and, therefore, is not included in the charity care amounts listed above. |
| Schedule H Part III A 4 | Refer to page 9 in the attached financial statements for Devereux's footnote regarding bad debt expense.   |

## **990 Schedule H, Supplemental Information**

| Form and Line Reference | Explanation   |
|-------------------------|---|
| Schedule H Part V 15e   | In fairly limited situations, Devereux may refer the outstanding bill to a third party for collection. Center management will work with corporate management before proceeding in utilizing a third party for collection. |
| Schedule H Part V 21    | Devereux facilities do not operate an emergency department. Nearly all of Devereux's clients come by way of referral from a physician, insurance company, governmental agency, or other healthcare facility.              |

## **990 Schedule H, Supplemental Information**

| Form and Line Reference | Explanation  |
|-------------------------|--|
| Schedule H Part V       | Devereux provides an allowance for uncollectible accounts for estimated losses resulting from the unwillingness or inability of patients or payors to make payments for services. The allowance is determined by analyzing specific accounts and historical data and trends. Accounts receivable are charged off against the allowance for uncollectible accounts when management determines that recovery is unlikely and Devereux ceases collection efforts. Losses have been consistent with management's expectations. |
| Schedule H Part V 24    | Devereux has relatively few private pay patients who pay the standard rate for care.   |

# 990 Schedule H, Supplemental Information

| Form and Line Reference     | Explanation   |
|-----------------------------|---|
| Schedule H Part V Section D | The organization also operated hundreds of community group homes that generally serve up to 6 individuals with mental health issues or developmental disabilities. These homes are designed to allow clients to live as independently as possible in the community, rather than an institutional setting. These are not individually listed in Part V, Section D. |

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 23-1390618

**Name:** The Devereux Foundation

### Form 990 Schedule H, Part V Section A. Hospital Facilities

#### Section A. Hospital Facilities

(list in order of size from largest to smallest—see instructions)

How many hospital facilities did the organization operate during the tax year?

1

Name, address, primary website address, and state license number

|   |  |   |   |  | <b>Other (Describe)</b> | <b>Facility reporting group</b> |
|---|--|---|---|--|-------------------------|---------------------------------|
| 1 | Children's Behavioral Health Center<br>655 Sugartown Road<br>Malvern, PA 19355<br>www.devereux.org<br>114190 | X | X |  | Inpatient Psych         |                                 |

## **Form 990 Part V Section C Supplemental Information for Part V, Section B.**

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16j, 18e, 19e, 20e, 21c, 21d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

| Form and Line Reference    | Explanation   |
|----------------------------|---|
| Part V, Section B, Line 11 | For Line 11 Part V Section B, refer to Devereux Pennsylvania Children's Behavioral Health Center's (Devereux Pennsylvania CBHC) Community Health Needs Assessment (CHNA) and Implementation Strategy that can be found on our website. Section III of the report describes how Devereux Pennsylvania CBHC is addressing the significant health needs that were identified in the most recent CHNA. Devereux Pennsylvania CBHC has made every effort to ensure it defined and prioritized the significant health needs of its Community through careful research, assessment and implementation of its CHNA. Our team took into account input from government health departments, MCOs, Community partnerships and healthcare providers as we sought to meet the needs of our patients and the Communities we serve. |

**Form 990 Schedule H, Part V Section D. Other Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility****Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? \_\_\_\_\_

| Name and address   | Type of Facility (describe)  |
|--|--|
| Devereux Florida Viera Campus<br>8000 Devereux Drive<br>Melbourne, FL 32940                | Residential and outpatient treatment Group homes Foster care                         |
| Devereux Adult Services<br>139 Leopard Road<br>Berwyn, PA 19312                            | Residential and group homes for autistic & intellectually disabled adults            |
| Devereux New York<br>40 Devereux Way<br>Red Hook, NY 12571                                 | 12 Mo Residential and Day School Behavioral Intervention                             |
| Devereux New Jersey<br>198 Roadstown Road<br>Bridgeton, NJ 08302                           | Educational and residential treatment Group homes Therapeutic foster care            |
| Devereux Children's IDD Services<br>390 E Boot Rd<br>West Chester, PA 19380                | Residential treatment Special education services Therapeutic foster care             |
| Devereux Massachusetts<br>60 Miles Rd PO Box 219<br>Rutland, MA 01543                      | Residential treatment, day school, group homes, therapeutic foster care              |
| Devereux Georgia<br>1291 Stanley Rd<br>Kennesaw, GA 30144                                  | Specialty foster Care Alternative day school Group home Outpatient services          |
| Devereux Childrens Behavioral Health Ser<br>Devereux Drive<br>Glenmoore, PA 19343          | Residential and educational services for treatment of behavioral disorders           |
| Devereux Arizona Scottsdale<br>6436 Sweetwater Road<br>Scottsdale, AZ 85254                | Residential and outpatient treatment Group homes Foster care Therapeutic foster care |
| Devereux Orlando Campus<br>6417 Christian Way<br>Orlando, FL 32808                         | RESIDENTIAL TREATMENT FACILITY GROUP HOMES   |
| Devereux Texas Victoria Campus<br>120 David Wade Dr<br>Victoria, TX 77905                  | Children's residential, educational, foster care, adult residential                  |
| Devereux Texas League City Campus<br>Houston Ctr 1150 Devereux Dr<br>League City, TX 77573 | Residential treatment Day Care Foster Care residential                               |
| Devereux Pocono Center<br>1547 Mill Creek Rd<br>Newfoundland, PA 18445                     | Community based programs for adults with intellectual or developmental disabilities  |
| Devereux Glenholme School<br>81 Sabbaday Lane<br>Washington, CT 06793                      | Residential education, day school, extended day and out-development disabilities     |
| Devereux Arizona Tucson<br>2502 N Dodge Rd<br>Tucson, AZ 85716                             | Residential treatment facility patient services                                      |

**Form 990 Schedule H, Part V Section D. Other Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

**Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility**

(list in order of size, from largest to smallest)

How many non-hospital health care facilities did the organization operate during the tax year? \_\_\_\_\_

| Name and address  | Type of Facility (describe)   |
|---|---|
| Devereux Mapleton<br>655 Sugartown Road Box 275<br>Malvern, PA 19355              | Residential, community-based and day school                             |
| Devereux Santa Barbara<br>6980 Falberg Way<br>Goleta, CA 93117                    | Off campus supported living and day school Residential                  |
| Devereux Stone and Gables<br>228 Highland Ave<br>Devon, PA 19333                  | Open residential treatment On campus group homes<br>Residential         |
| Devereux Florida Titusville Campus<br>1850 SDeleon Avenue<br>Titusville, FL 32780 | Residential treatment facility facility with special education services |

**Schedule I  
(Form 990)**Department of the  
Treasury  
Internal Revenue Service**Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States**

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016****Open to Public  
Inspection**Name of the organization  
The Devereux FoundationEmployer identification number  
23-1390618**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000 Part II can be duplicated if additional space is needed

| (a) Name and address of organization or government                           | (b) EIN    | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| (1) Devereux Cleo Wallace<br>8405 Church Ranch Blvd<br>Westminster, CO 80021 | 84-0406820 | 501(c)(3)                     | 197,751                  |                                   | Book  |  | SCHOLARSHIP                        |

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ►
- 3 Enter total number of other organizations listed in the line 1 table . . . . . ►

1

0

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22  
Part III can be duplicated if additional space is needed

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| (1)                             |                          |                          |                                   |   |  |
| (2)                             |                          |                          |                                   |   |  |
| (3)                             |                          |                          |                                   |   |  |
| (4)                             |                          |                          |                                   |   |  |
| (5)                             |                          |                          |                                   |   |  |
| (6)                             |                          |                          |                                   |   |  |
| (7)                             |                          |                          |                                   |   |  |

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference | Explanation |
|------------------|-------------|
|                  |             |

**2015****Open to Public  
Inspection****Schedule J**  
(Form 990)Department of the  
Treasury  
Internal Revenue  
Service**Compensation Information****For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).Name of the organization  
The Devereux Foundation**Employer identification number**

23-1390618

**Part I Questions Regarding Compensation**

|           | <b>Yes</b> | <b>No</b> |
|-----------|------------|-----------|
| <b>1a</b> |            |           |
| a         |            |           |
| b         |            |           |
| <b>2</b>  |            |           |
| <b>3</b>  |            |           |
| <b>4</b>  |            |           |
| a         |            |           |
| b         |            |           |
| c         |            |           |
| <b>5</b>  |            |           |
| a         |            |           |
| b         |            |           |
| <b>6</b>  |            |           |
| a         |            |           |
| b         |            |           |
| <b>7</b>  |            |           |
| <b>8</b>  |            |           |
| <b>9</b>  |            |           |

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- First-class or charter travel       Housing allowance or residence for personal use  
 Travel for companions       Payments for business use of personal residence  
 Tax indemnification and gross-up payments       Health or social club dues or initiation fees  
 Discretionary spending account       Personal services (e.g., maid, chauffeur, chef)

**b** If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III

- Compensation committee       Written employment contract  
 Independent compensation consultant       Compensation survey or study  
 Form 990 of other organizations       Approval by the board or compensation committee

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization**a** Receive a severance payment or change-of-control payment?

No

**b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?

Yes

**c** Participate in, or receive payment from, an equity-based compensation arrangement?

No

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

**Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.****5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of

No

**a** The organization?

No

**b** Any related organization?

No

If "Yes," on line 5a or 5b, describe in Part III

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of

No

**a** The organization?

No

**b** Any related organization?

No

If "Yes," on line 6a or 6b, describe in Part III

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

Yes

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

No

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

No

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title        | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred benefits | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column(B) reported as deferred on prior Form 990 |
|---------------------------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|--|
|                           | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |  |
| See Additional Data Table |  |                                     |                                     |  |                         |                                 |  |

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference | Explanation   |
|------------------|---|
| Part I Line 4b   | The organization has two supplemental executive retirement plans (SERP) in which participation in the plan is limited to the President/CEO and senior vice-presidents of the organization. Annually, the compensation committee of the board of trustees may approve an annual contribution to the participant's account in an amount up to 10% of plan compensation for the year (as defined in the plan document). The participant shall become one hundred percent (100%) vested in his or her benefit under the Plan if while employed by Devereux, he or she (a) attains Normal Retirement Age, (b) dies or (c) becomes Disabled. The benefits accrued under this plan are included in Retirement and other deferred compensation amounts in Part II. Included in Part II, Column C are the following SERP credits: Robert Kreider, President and CEO - \$75,250, Carl Clark, Sr VP and COO - \$24,263, Robert Dunne, Sr VP and CFO - \$15,650, and Leah Yaw, Sr VP of External Affairs - \$9,000. |
| Part I Line 7    | The organization has an incentive plan available certain to certain officers and other members of management. Annually, the compensation committee of the board of directors determines and approves the incentive amounts for all eligible members, with the exception of the President/CEO, for which the full board's approval is required. Incentive amounts are based on the achievement of organizational and individual goals, which incorporate both qualitative and quantitative elements.   |

**Additional Data**
**Software ID:**  
**Software Version:**
**EIN:** 23-1390618

**Name:** The Devereux Foundation

**Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

| (A) Name and Title                                | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits   | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|--|-------------------------------------|-------------------------------------|--|---------------------------|---------------------------------|---|
|   | (i) Base Compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                           |                                 |   |
| 1 Robert Q Kreider<br>President and CEO, Trustee  | (i) 500,000<br><br>(ii) 0                          | (i) 201,500<br><br>(ii) 0           | (i) 40,942<br><br>(ii) 0            | (i) 114,645<br><br>(ii) 0                      | (i) 7,998<br><br>(ii) 0   | (i) 865,085<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 1 Margaret M McGill<br>Executive Vice President   | (i) 19,385<br><br>(ii) 0                           | (i) 48,342<br><br>(ii) 0            | (i) 245,187<br><br>(ii) 0           | (i) 955<br><br>(ii) 0                          | (i) 313,869<br><br>(ii) 0 | (i) 230,088<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 2 Carl E Clark Sr VP and COO                      | (i) 344,914<br><br>(ii) 0                          | (i) 81,000<br><br>(ii) 0            | (i) 15,076<br><br>(ii) 0            | (i) 24,422<br><br>(ii) 0                       | (i) 7,868<br><br>(ii) 0   | (i) 473,280<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 3 Robert C Dunne<br>Sr VP and CFO                 | (i) 274,850<br><br>(ii) 0                          | (i) 64,200<br><br>(ii) 0            | (i) 16,668<br><br>(ii) 0            | (i) 36,026<br><br>(ii) 0                       | (i) 7,933<br><br>(ii) 0   | (i) 399,677<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 4 Marilyn Benoit MD<br>Sr VP and CCO              | (i) 324,928<br><br>(ii) 0                          | (i) 57,200<br><br>(ii) 0            | (i) 25,583<br><br>(ii) 0            | (i) 18,550<br><br>(ii) 0                       | (i) 1,665<br><br>(ii) 0   | (i) 427,926<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 5 Stephen Nolan<br>Sr VP Genl Counsel and Secy    | (i) 213,397<br><br>(ii) 0                          | (i) 52,509<br><br>(ii) 0            | (i) 16,573<br><br>(ii) 0            | (i) 3,523<br><br>(ii) 0                        | (i) 7,933<br><br>(ii) 0   | (i) 293,935<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 6 Leah Yaw<br>Sr VP of External Affairs           | (i) 234,547<br><br>(ii) 0                          | (i) 53,000<br><br>(ii) 0            | (i) 21,160<br><br>(ii) 0            | (i) 25,711<br><br>(ii) 0                       | (i) 8,027<br><br>(ii) 0   | (i) 342,445<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 7 L Gail Atkinson<br>VP of Operations             | (i) 225,879<br><br>(ii) 0                          | (i) 44,000<br><br>(ii) 0            | (i) 3,570<br><br>(ii) 0             | (i) 15,812<br><br>(ii) 0                       | (i) 7,758<br><br>(ii) 0   | (i) 297,019<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 8 Rayni Brindley<br>VP of Operations and Bus Dev  | (i) 169,272<br><br>(ii) 0                          | (i) 36,445<br><br>(ii) 0            | (i) 11,078<br><br>(ii) 0            | (i) 0<br><br>(ii) 0                            | (i) 7,272<br><br>(ii) 0   | (i) 224,067<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 9 Timothy Dillon<br>VP of HR                      | (i) 180,048<br><br>(ii) 0                          | (i) 34,000<br><br>(ii) 0            | (i) 6,169<br><br>(ii) 0             | (i) 13,325<br><br>(ii) 0                       | (i) 7,933<br><br>(ii) 0   | (i) 241,475<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 10 Martha Lindsay<br>VP Product Development       | (i) 135,851<br><br>(ii) 0                          | (i) 500<br><br>(ii) 0               | (i) 38,905<br><br>(ii) 0            | (i) 9,282<br><br>(ii) 0                        | (i) 5,058<br><br>(ii) 0   | (i) 189,596<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 11 Carol Oliver<br>VP Operations                  | (i) 234,849<br><br>(ii) 0                          | (i) 11,000<br><br>(ii) 0            | (i) 36,625<br><br>(ii) 0            | (i) 16,440<br><br>(ii) 0                       | (i) 7,996<br><br>(ii) 0   | (i) 306,910<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 12 Gwendolyn Skinner<br>VP Operations             | (i) 165,003<br><br>(ii) 0                          | (i) 30,901<br><br>(ii) 0            | (i) 3,188<br><br>(ii) 0             | (i) 11,550<br><br>(ii) 0                       | (i) 1,573<br><br>(ii) 0   | (i) 212,215<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 13 Thomas Shurer<br>VP Information Systems        | (i) 158,228<br><br>(ii) 0                          | (i) 31,000<br><br>(ii) 0            | (i) 6,774<br><br>(ii) 0             | (i) 11,720<br><br>(ii) 0                       | (i) 7,863<br><br>(ii) 0   | (i) 215,585<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 14 Lawrence W Williams<br>VP Compliance and Admin | (i) 183,916<br><br>(ii) 0                          | (i) 35,000<br><br>(ii) 0            | (i) 1,552<br><br>(ii) 0             | (i) 12,371<br><br>(ii) 0                       | (i) 8,005<br><br>(ii) 0   | (i) 240,844<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 15 David A Griffith<br>Controller                 | (i) 142,102<br><br>(ii) 0                          | (i) 13,650<br><br>(ii) 0            | (i) 162<br><br>(ii) 0               | (i) 10,059<br><br>(ii) 0                       | (i) 1,430<br><br>(ii) 0   | (i) 167,403<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 16 Steven Murphy<br>Executive Director FL         | (i) 202,910<br><br>(ii) 0                          | (i) 14,000<br><br>(ii) 0            | (i) 19,958<br><br>(ii) 0            | (i) 14,204<br><br>(ii) 0                       | (i) 1,758<br><br>(ii) 0   | (i) 252,830<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 17 John Lopez<br>Executive Director NY            | (i) 135,854<br><br>(ii) 0                          | (i) 25,585<br><br>(ii) 0            | (i) 709<br><br>(ii) 0               | (i) 9,935<br><br>(ii) 0                        | (i) 7,603<br><br>(ii) 0   | (i) 179,686<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 18 Tilden Reeder<br>Psychiatrist                  | (i) 388,477<br><br>(ii) 0                          | (i) 0<br><br>(ii) 0                 | (i) 2,111<br><br>(ii) 0             | (i) 18,550<br><br>(ii) 0                       | (i) 7,996<br><br>(ii) 0   | (i) 417,134<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |
| 19 Imran Posner<br>Psychiatrist                   | (i) 350,130<br><br>(ii) 0                          | (i) 0<br><br>(ii) 0                 | (i) 478<br><br>(ii) 0               | (i) 16,940<br><br>(ii) 0                       | (i) 1,665<br><br>(ii) 0   | (i) 369,213<br><br>(ii) 0       | (i) 0<br><br>(ii) 0   |

**Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

| (A) Name and Title                                   | (B) Breakdown of W-2 and/or 1099-MISC compensation |  |  | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|--|--|--|--|--|-------------------------|---------------------------------|---|
|  | (i)<br>Base Compensation                           | (ii)<br>Bonus & incentive compensation | (iii)<br>Other reportable compensation |  |                         |                                 |   |
| 21 Jacqueline Zavodnick<br>Medical Services Director | (I) 267,078  |  | 1,236                                  | 18,160   | 7,657                   | 294,131                         | 0   |
|  | (II) - - - - - 0                                   | 0                                      | 0                                      | 0  | 0                       | 0                               | 0   |
| 1 Ranjeeb Shrestha<br>Psychiatrist                   | (I) 370,286  |  | 162                                    | 16,870   | 8,092                   | 395,410                         | 0   |
|  | (II) - - - - - 0                                   | 0                                      | 0                                      | 0  | 0                       | 0                               | 0   |
| 2 Sailaja Musunuri<br>Medical Services Director      | (I) 243,195  |  | 270                                    | 962  | 8,027                   | 252,454                         | 0   |
|  | (II) - - - - - 0                                   | 0                                      | 0                                      | 0  | 0                       | 0                               | 0   |

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
| <b>Schedule K<br/>(Form 990)</b><br><br>Department of the Treasury<br>Internal Revenue Service | <b>Supplemental Information on Tax Exempt Bonds</b><br>► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.<br>► Attach to Form 990.<br>►Information about Schedule K (Form 990) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a> . |  |  |  |  |  |  | 2016   |  |
|  |  |  |  |  |  |  |  |  |  |
| Name of the organization<br>The Devereux Foundation  |  |  |  |  |  |  |  | <b>Open to Public<br/>Inspection</b>         |  |
|  |  |  |  |  |  |  |  | Employer identification number<br>23-1390618 |  |

| <b>Part I Bond Issues</b> |  | (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose  | (g) Defeased |    | (h) On behalf of issuer |    | (i) Pool financing |    |
|---------------------------|--|-----------------|----------------|-------------|-----------------|-----------------|-----------------------------|--------------|----|-------------------------|----|--------------------|----|
|                           |  |                 |                |             |                 |                 |                             | Yes          | No | Yes                     | No | Yes                | No |
| A                         | Chester Co Health and Education Facility Authority | 23-2265260      |                |             | 12-15-2011      | 6,580,000       | Refund of 6/1/97 bonds      |              | X  |                         | X  |                    | X  |
| B                         | Chester Co Health and Education Facility Authority | 23-2265260      |                |             | 11-01-2012      | 8,744,656       | Refund of 8/29/02 bonds     |              | X  |                         | X  |                    | X  |
| C                         | Colorado Health Facilities Authority               | 84-0752932      |                |             | 11-01-2012      | 7,053,654       | Refund of 8/29/02 bonds     |              | X  |                         | X  |                    | X  |
| D                         | Chester Co Health and Education Facility Authority | 23-2265260      | 165579FX6      |             | 10-26-2016      | 20,458,423      | Refund of the 6/29/06 Bonds |              | X  |                         | X  |                    | X  |

| <b>Part II Proceeds</b> |  |  |  |  |  |  | A         | B         | C         | D          |  |
|-------------------------|--|--|--|--|--|--|-----------|-----------|-----------|------------|--|
| 1                       | Amount of bonds retired . . . . .  |  |  |  |  |  | 2,303,194 | 2,063,031 | 1,282,124 | 0          |  |
| 2                       | Amount of bonds legally defeased . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 3                       | Total proceeds of issue . . . . .  |  |  |  |  |  | 6,580,000 | 8,744,656 | 3,197,421 | 20,458,423 |  |
| 4                       | Gross proceeds in reserve funds . . . . .  |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 5                       | Capitalized interest from proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 6                       | Proceeds in refunding escrows . . . . .  |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 7                       | Issuance costs from proceeds . . . . .   |  |  |  |  |  | 123,160   | 95,146    | 43,312    | 319,152    |  |
| 8                       | Credit enhancement from proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 9                       | Working capital expenditures from proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 10                      | Capital expenditures from proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 11                      | Other spent proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 12                      | Other unspent proceeds . . . . .   |  |  |  |  |  | 0         | 0         | 0         | 0          |  |
| 13                      | Year of substantial completion . . . . .   |  |  |  |  |  | 2011      | 2012      | 2012      | 2016       |  |
|                         |  |  |  |  |  |  | Yes       | No        | Yes       | No         |  |
| 14                      | Were the bonds issued as part of a current refunding issue? . . . . .  |  |  |  |  |  | X         |           | X         |            |  |
| 15                      | Were the bonds issued as part of an advance refunding issue? . . . . .   |  |  |  |  |  |           | X         |           | X          |  |
| 16                      | Has the final allocation of proceeds been made? . . . . .  |  |  |  |  |  | X         |           |           | X          |  |
| 17                      | Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . . |  |  |  |  |  | X         |           | X         |            |  |

| <b>Part III Private Business Use</b> |  | A   |    | B   |    | C   |    | D   |    |
|--------------------------------------|--|-----|----|-----|----|-----|----|-----|----|
|                                      |  | Yes | No | Yes | No | Yes | No | Yes | No |
| 1                                    | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? . . . . . |     | X  |     | X  |     | X  |     | X  |
| 2                                    | Are there any lease arrangements that may result in private business use of bond-financed property? . . . . .                        |     | X  |     | X  |     | X  |     | X  |

**Part III Private Business Use (Continued)**

|  | A   |    | B   |    | C   |    | D   |     |
|--|-----|----|-----|----|-----|----|-----|-----|
|  | Yes | No | Yes | No | Yes | No | Yes | No  |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .  |     | X  |     | X  |     | X  |     | X   |
| b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? . . . . .   |     |    |     |    |     |    |     |     |
| c Are there any research agreements that may result in private business use of bond-financed property? . . . . .   |     | X  |     | X  |     | X  |     | X   |
| d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? . . . . .   |     |    |     |    |     |    |     |     |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . ►  |     |    | 0 % |    | 0 % |    | 0 % | 0 % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . ► |     |    |     |    |     |    |     |     |
| 6 Total of lines 4 and 5 . . . . .   |     |    |     |    |     |    |     |     |
| 7 Does the bond issue meet the private security or payment test? . . . . .   |     | X  |     | X  |     | X  |     | X   |
| 8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? . . . . .  |     | X  |     | X  |     | X  |     | X   |
| b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . . . . .  |     |    |     |    |     |    |     |     |
| c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2? . . . . .  |     | X  |     | X  |     | X  |     | X   |
| 9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2? . . . . .                           | X   |    | X   |    | X   |    | X   |     |

**Part IV Arbitrage**

|   | A   |    | B   |    | C   |    | D   |    |
|---|-----|----|-----|----|-----|----|-----|----|
|   | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . . .    |     | X  |     | X  |     | X  |     | X  |
| 2 If "No" to line 1, did the following apply? . . . . .   |     |    |     |    |     |    |     |    |
| a Rebate not due yet? . . . . .   |     |    |     |    |     |    |     |    |
| b Exception to rebate? . . . . .  | X   |    | X   |    | X   |    | X   |    |
| c No rebate due? . . . . .  |     |    |     |    |     |    |     |    |
| If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed . . . . .                             |     |    |     |    |     |    |     |    |
| 3 Is the bond issue a variable rate issue? . . . . .  | X   |    | X   |    | X   |    | X   |    |
| 4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . . |     | X  |     | X  |     | X  |     | X  |
| b Name of provider . . . . .  | 0   |    | 0   |    | 0   |    | 0   |    |
| c Term of hedge . . . . .   |     |    |     |    |     |    |     |    |
| d Was the hedge superintegrated? . . . . .  |     |    |     |    |     |    |     |    |
| e Was the hedge terminated? . . . . .   |     |    |     |    |     |    |     |    |

**Part IV Arbitrage (Continued)**

|   | A   |    | B   |    | C   |    | D   |    |
|---|-----|----|-----|----|-----|----|-----|----|
|   | Yes | No | Yes | No | Yes | No | Yes | No |
|   |     | X  |     | X  |     | X  |     | X  |
| 5a Were gross proceeds invested in a guaranteed investment contract (GIC)?                                |     |    |     |    |     |    |     |    |
| b Name of provider . . . . .  | 0   |    | 0   |    | 0   |    | 0   |    |
| c Term of GIC . . . . .   |     |    |     |    |     |    |     |    |
| d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .   |     |    |     |    |     |    |     |    |
| 6 Were any gross proceeds invested beyond an available temporary period?                                  |     | X  |     | X  |     | X  |     | X  |
| 7 Has the organization established written procedures to monitor the requirements of section 148? . . . . | X   |    | X   |    | X   |    | X   |    |

**Part V Procedures To Undertake Corrective Action**

|  | A   |    | B   |    | C   |    | D   |    |
|--|-----|----|-----|----|-----|----|-----|----|
|  | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X   |    | X   |    | X   |    | X   |    |

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

| Return Reference | Explanation  |
|------------------|--|
| Part I, Line c   | The Colorado Health Facilities Authority Bonds supported projects at both Devereux and Devereux Cleo Wallace, a controlled entity of Devereux. The total issuance price is listed in Part I, line C, column e. The portion of proceeds of the issue attributable to Devereux is noted in Part II, Line 3 of Schedule K |

**SCHEDULE M  
(Form 990)****Noncash Contributions**

OMB No 1545-0047

**2016**

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

►Attach to Form 990.

►Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)**Open to Public  
Inspection**Department of the Treasury  
Internal Revenue ServiceName of the organization  
The Devereux Foundation**Employer identification number**

23-1390618

**Part I Types of Property**

|  | <b>(a)<br/>Check if<br/>applicable</b> | <b>(b)<br/>Number of contributions or<br/>items contributed</b> | <b>(c)<br/>Noncash contribution<br/>amounts reported on<br/>Form 990, Part VIII, line<br/>1g</b> | <b>(d)<br/>Method of determining<br/>noncash contribution amounts</b> |
|--|--|---|--|---|
| 1 Art—Works of art . . . . .   | X                                      | 15  | 6,105  | Cost of donated prop  |
| 2 Art—Historical treasures . . . . .   |  |   |  |   |
| 3 Art—Fractional interests . . . . .   |  |   |  |   |
| 4 Books and publications . . . . .   | X                                      |   | 17,394   | Cost of donated prop  |
| 5 Clothing and household<br>goods . . . . .  | X                                      |   | 112,193  | Cost of donated prop  |
| 6 Cars and other vehicles . . . . .  | X                                      | 1   | 32,650   | Sale of comparable  |
| 7 Boats and planes . . . . .   |  |   |  |   |
| 8 Intellectual property . . . . .  |  |   |  |   |
| 9 Securities—Publicly traded . . . . .   | X                                      | 16  | 278,542  | Sales Price   |
| 10 Securities—Closely held stock . . . . .   |  |   |  |   |
| 11 Securities—Partnership, LLC,<br>or trust interests . . . . .  |  |   |  |   |
| 12 Securities—Miscellaneous . . . . .  |  |   |  |   |
| 13 Qualified conservation<br>contribution—Historic<br>structures . . . . .   |  |   |  |   |
| 14 Qualified conservation<br>contribution—Other . . . . .  |  |   |  |   |
| 15 Real estate—Residential . . . . .   |  |   |  |   |
| 16 Real estate—Commercial . . . . .  |  |   |  |   |
| 17 Real estate—Other . . . . .   |  |   |  |   |
| 18 Collectibles . . . . .  | X                                      | 15  | 4,226  | Cost of donated prop  |
| 19 Food inventory . . . . .  | X                                      | 32  | 80,035   | Cost of donated prop  |
| 20 Drugs and medical supplies . . . . .  |  |   |  |   |
| 21 Taxidermy . . . . .   |  |   |  |   |
| 22 Historical artifacts . . . . .  |  |   |  |   |
| 23 Scientific specimens . . . . .  |  |   |  |   |
| 24 Archeological artifacts . . . . .   |  |   |  |   |
| 25 Other ► See Additional Data   |  |   |  |   |
| 26 Other ► ( _____ )   |  |   |  |   |
| 27 Other ► ( _____ )   |  |   |  |   |
| 28 Other ► ( _____ )   |  |   |  |   |
| 29 Number of Forms 8283 received by the organization during the tax year for contributions<br>for which the organization completed Form 8283, Part IV, Donee Acknowledgement |  |   | 29   |   |

|  | <b>Yes</b> | <b>No</b> |
|--|------------|-----------|
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that<br>it must hold for at least three years from the date of the initial contribution, and which is not required to be used<br>for exempt purposes for the entire holding period? . . . . . | 30a        | No        |
| b If "Yes," describe the arrangement in Part II  |            |           |
| 31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?   | 31         | Yes       |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash<br>contributions? . . . . .  | 32a        | Yes       |
| b If "Yes," describe in Part II  |            |           |
| 33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked,<br>describe in Part II   |            |           |

**Part II****Supplemental Information.**

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

| Return Reference    | Explanation   |
|---------------------|---|
| Schedule M Line 32a | For publicly traded securities that are contributed to Devereux, an outside brokerage firm receives the these securities, sells them, and remits the net proceeds to Devereux |

## **Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 23-1390618  
**Name:** The Devereux Foundation

### **Part I, Lines 25-28**

|  |
|--|
| <u>Other ► ( Personal Services )</u>     |
| <u>Other ► ( Event tickets )</u>         |
| <u>Other ► ( Use of Property )</u>       |
| <u>Other ► ( Holiday-related items )</u> |
| <u>Other ► ( Miscellaneous )</u>         |

| (a)<br>Check if applicable | (b)<br>Number of contributions or items contributed | (c)<br>Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d)<br>Method of determining noncash contribution amounts |
|----------------------------|---|--|---|
| X                          | 25  | 35,485   | Cost of donated prop                                      |
| X                          | 91  | 38,771   | Cost of donated prop                                      |
| X                          | 73  | 93,370   | Cost of donated prop                                      |
| X                          | 146   | 163,222  | Cost of donated prop                                      |
| X                          | 493   | 210,281  | Cost of donated prop                                      |

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury

Internal Revenue Service

Name of the organization

The Devereux Foundation

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

**2016****Open to Public  
Inspection****Employer identification number**

23-1390618

**990 Schedule O, Supplemental Information**

| Return Reference               | Explanation  |
|--------------------------------|--|
| Form 990<br>Part IV Line<br>34 | The Devereux Foundation d/b/a Devereux Advanced Behavioral Health (Devereux) is a related organization to Devereux Community Based Care, Inc (DCBC), a 501(c)(3) organization that coordinates the delivery of child welfare service in Indian River, Martin, Okeechobee and St. Lucie counties in the State of Florida. This includes the provision of case management, emergency shelters, in-home protective services, relative care placements, foster care, foster care supervision, intensive residential treatment, independent living, family reunification, and adoption and appropriate related services. Although Devereux appoints a simple majority of the number of Directors on the DCBC board, Devereux does not control DCBC since certain major actions require two-thirds consent of the Directors. Accordingly, Devereux is only a related entity, not a controlling entity. Devereux entered into an Administrative Services Agreement with DCBC, under which Devereux provides certain financial, information technology, human resources, benefit administration, legal, insurance and other services for DCBC. For these services, Devereux received \$673,387 from DCBC for the year ended June 30, 2017. |

# **990 Schedule O, Supplemental Information**

| <b>Return Reference</b>       | <b>Explanation</b>   |
|-------------------------------|--|
| Form 990<br>Part V Line<br>2a | The number of employees listed is the total number of employees who worked at Devereux during the year, including those who left employment during calendar year 2016, as reported on Form W-3 |

## **990 Schedule O, Supplemental Information**

| Return Reference                    | Explanation  |
|-------------------------------------|--|
| Form 990 Part VI Section A, Line 1a | Article I, Section 1 of the Bylaws of Devereux provides that Devereux shall be governed by a Board of Trustees of fifteen (15) to twenty-two (22) members of the Board. A minimum of five (5) members of the Board shall be parents, relatives, or guardians of individuals currently or formerly in Devereux's care. The Executive Committee of the Board, which consists of eight (8) members of the governing body, has the authority to act on behalf of the full Board of Trustees. |

# 990 Schedule O, Supplemental Information

| Return<br>Reference                         | Explanation   |
|---|---|
| Form 990<br>Part VI<br>Section A,<br>Line 2 | Christopher D Butler, Trustee, and I Steven Udvarhelyi, Trustee, have a business relationship |

## **990 Schedule O, Supplemental Information**

| Return Reference                   | Explanation  |
|------------------------------------|--|
| Form 990 Part VI Section B Line 11 | Form 990 is provided in hard-copy or electronically to all Board members approximately two months before the filing deadline. Board members are requested to provide comments or questions to the CFO by a specific date, approximately three weeks from receiving the draft. The comments are reviewed by the CFO, who directs the response to all Board questions, and where appropriate, directs changes to be made to the Form 990. The Board is advised of the changes and given an opportunity for final review. Additionally, the CFO reviews any important issues regarding the Form 990 at a designated Board meeting, with followup as necessary after the meeting, and solicits additional Board comments and questions. After this review process, the CFO signs the Form 990 and submits it to the IRS. |

## 990 Schedule O, Supplemental Information

| Return Reference                             | Explanation  |
|--|--|
| Form 990<br>Part VI<br>Section B<br>Line 12c | Representatives of Devereux dealing with clients, parents, guardians, vendors, competitors or anyone who does or seeks to do business with Devereux are required to act in Devereux's best interests, disregarding any personal preference or advantage. Representatives shall make prompt and full disclosure to his/her manager and to the Internal Audit Department (and, in the case of Trustees and senior managers, to the Board's Audit and Compliance Committee) via the Devereux Conflict of Interest form of any prospective or actual situation that involves, may involve, or might appear to involve a conflict of interest. Members of the same family or living within the same domicile may be employed by Devereux in the same center or department unless the center Director or department head determines such employment is not in Devereux's best interest. Relatives of senior management or trustees, as well as those working in Human Resources, Payroll, and Internal Audit, shall not be hired by Devereux in any capacity unless approved in advance by the President/CEO. Each Devereux employee has the responsibility to report any actual or perceived conflicts of interest to management, Human Resources, the Vice President of Audit & Compliance or the Employee Helpline. The Helpline is an anonymous "whistleblower service", where complaints are processed by an independent third party retained by Devereux for this purpose and subsequently referred to the Vice President of Compliance. Annually, a copy of Devereux's business ethics policy is mailed or e-mailed to trustees, officers, directors and key personnel along with the annual Conflict of Interest Disclosure Statement, which must be signed and returned to the Director of Internal Audit within 30 days. The annual disclosure requires an acknowledgement of understanding Devereux's business ethics policy, as well as disclosure of any conflict, or appearance of a conflict, between personal interests and the interests of Devereux. Failure to comply or falsification of disclosure may result in disciplinary action, including possible dismissal. Newly-hired employees in the categories identified above are given this policy on the first day of their employment and are required to complete the annual Conflict of Interest Disclosure Statement immediately. All employees disclosing a conflict or potential conflict must have the Disclosure Statement reviewed and signed by the Executive Director at their location (President/CEO for Corporate staff) prior to sending it to Audit Services. All Annual Conflict of Interest Disclosure Statements identifying a conflict or potential conflict are reviewed by the Vice President of Audit & Compliance and any other officers or senior management determined to be appropriate, and submitted to the Audit & Compliance Committee of the Board of Trustees for review. No Disclosure Statement may be reviewed by the person submitting it or by a person directly supervised by the person submitting the report. |

## 990 Schedule O, Supplemental Information

| Return Reference                             | Explanation  |
|--|--|
| Form 990<br>Part VI<br>Section B<br>Line 15b | Devereux reviews officersExecutive Directors' salaries against the market on an annual basis in connection with the July meeting of the Executive Committee of the Devereux Board of Trustees, which serves as the Board's Compensation Committee. However, reviews may occur at other times to respond to changes in the market. During this benchmarking process, the Vice President of Human Resources conducts a review of salaries for benchmark positions for which there is sufficient market survey data and is focused on nonprofit competitors. Additionally, Form 990s of other comparable organizations are also reviewed on an annual basis from an analysis compiled by the Controller. The results of these reviews are compared against all Executive Director/officers' salaries. The review is intended to make Devereux's compensation both reasonable and competitive. The Vice President of Human Resources will make recommendations for adjustments, if necessary. Devereux's market for this salary review is primarily focused on similarly sized organizations (budget, number of employees, revenue) in the behavioral health industry and structure (system vs single entities). However, industry-wide health care and general industry data as provided by the U.S. Department of Labor or other sources as well as residential and educational surveys that become available or that Devereux may conduct may also be factored into the review. The results of the review conducted are typically validated by an outside compensation consultant at least every 2 years. |

## **990 Schedule O, Supplemental Information**

| <b>Return<br/>Reference</b>                 | <b>Explanation</b>  |
|---|---|
| Form 990<br>Part VI<br>Section C<br>Line 19 | Devereux's Form 990 is available to the public through posting on Guidestar ( <a href="http://www.guidestar.org">www.guidestar.org</a> ) It is also available upon request. The Audited Financial Statements are available upon request, and summarized financial information is included in our Annual Report, which is distributed to constituents and posted on our website. Devereux does not make its governing documents or conflict of interest policy available to the general public outside of this Form 990. |

## **990 Schedule O, Supplemental Information**

| Return Reference                             | Explanation  |
|--|--|
| Form 990<br>Part X<br>Liabilities<br>Line 20 | Devereux reported four bonds on Schedule K. Chester County Health and Education Facilities Authority Revenue Bonds, Series of 2016 (for which \$18,225,000 was outstanding at June 30, 2017), the Chester County Health and Education Facilities Authority Revenue Bonds, Series 2011 (for which \$4,277,000 was outstanding at June 30, 2017), the Chester County Health and Education Facility Authority Bonds, Series 2012 (for which \$6,682,000 was outstanding at June 30, 2017), and Colorado Health Facilities Authority Revenue Bonds, Series 2012 (for which \$4,225,000 was outstanding at June 30, 2016). The Series 2011 and both of the Series 2012 Bonds were acquired by financial institutions. |

**SCHEDULE R  
(Form 990)**

OMB No 1545-0047

**Related Organizations and Unrelated Partnerships****2016**Department of the Treasury  
Internal Revenue ServiceName of the organization  
The Devereux Foundation**Open to Public  
Inspection**

- Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
- Attach to Form 990. ► Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Employer identification number  
23-1390618**Part I Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |
|   |                         |  |                     |                           |                                  |

**Part II Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|
|   |                         |  |                            |   |                                  | Yes      No                                  |
| (1)Devereux Cleo Wallace<br>8405 Church Ranch Blvd<br><br>Westminster, CO 80021<br>84-0406820                             | Mental Hlth             | CO   | 501(c)(3)                  | 7   | Dev Foundatn                     | Yes  |
| (2)Devereux Cleo Wallace Foundation<br>c/o Dev Found 2012 Renaissance Blvd<br><br>King of Prussia, PA 19406<br>74-2277635 | Support Org             | CO   | 501(c)(3)                  | 11-I  | Cleo Wallace                     | Yes  |
| (3)The Helena Devereux Foundation<br>444 Devereux Drive<br><br>Villanova, PA 19085<br>30-0034707                          | Support Org             | PA   | 501(c)(3)                  | 11-I  | Dev Found                        | Yes  |
| (4)Devereux Professional Group<br>c o Devereux TX 1150 Devereux Dr<br><br>League City, TX 77573<br>74-0406760             | Inactive                | TX   | 501(c)(3)                  | 11-I  | Dev Found                        | Yes  |
| (5)Devereux Kids Inc<br>5850 TG Lee Blvd Ste 400<br><br>Orlando, FL 32822<br>59-3593023                                   | Inactive                | FL   | 501(c)(3)                  | 7   | Dev Found                        | Yes  |
| (6)Devereux Community Based Care Inc<br>10570 S Federal Highway Suite 300<br><br>Port St Lucie, FL 34952<br>46-0908479    | Child Welfare           | FL   | 501(c)3                    | 7   | N/A                              | No   |
|   |                         |  |                            |   |                                  |  |

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

**Part V Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity . . . . .
- b Gift, grant, or capital contribution to related organization(s) . . . . .
- c Gift, grant, or capital contribution from related organization(s) . . . . .
- d Loans or loan guarantees to or for related organization(s) . . . . .
- e Loans or loan guarantees by related organization(s) . . . . .
  
- f Dividends from related organization(s) . . . . .
- g Sale of assets to related organization(s) . . . . .
- h Purchase of assets from related organization(s) . . . . .
- i Exchange of assets with related organization(s) . . . . .
- j Lease of facilities, equipment, or other assets to related organization(s) . . . . .
  
- k Lease of facilities, equipment, or other assets from related organization(s) . . . . .
- l Performance of services or membership or fundraising solicitations for related organization(s) . . . . .
- m Performance of services or membership or fundraising solicitations by related organization(s) . . . . .
- n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .
- o Sharing of paid employees with related organization(s) . . . . .
  
- p Reimbursement paid to related organization(s) for expenses . . . . .
- q Reimbursement paid by related organization(s) for expenses . . . . .
  
- r Other transfer of cash or property to related organization(s) . . . . .
- s Other transfer of cash or property from related organization(s) . . . . .

|    | Yes | No |
|----|-----|----|
| 1a |     | No |
| 1b | Yes |    |
| 1c | Yes |    |
| 1d |     | No |
| 1e |     | No |
| 1f |     |    |
| 1g |     | No |
| 1h |     | No |
| 1i |     | No |
| 1j |     | No |
| 1k |     | No |
| 1l | Yes |    |
| 1m |     | No |
| 1n |     | No |
| 1o |     | No |
| 1p |     | No |
| 1q |     | No |
| 1r |     | No |
| 1s |     | No |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a)<br>Name of related organization | (b)<br>Transaction type (a-s) | (c)<br>Amount involved | (d)<br>Method of determining amount involved |
|-------------------------------------|-------------------------------|------------------------|--|
| (1)The Helena Devereux Foundation   | c                             | 5,368,245              | Book value                                   |
| (2)Devereux Cleo Wallace            | l                             | 601,304                | Book Value                                   |
| (3)The Helena Devereux Foundation   | l                             | 124,909                | Book Value                                   |
| (4)Devereux Cleo Wallace            | b                             | 197,751                | Book Value                                   |
| (5)The Helena Devereux Foundation   | b                             | 13,924,555             | Book Value                                   |

**Part VI Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

**Part VII****Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

| <b>Return Reference</b> | <b>Explanation</b>  |
|-------------------------|---|
| Schedule R              | HDF is a recognized 509(a)(3) supporting organization of Devereux, formed to manage and invest endowment funds to support Devereux's activities. Its Board of Trustees consists of members of Devereux's Board. The following officers of HDF in the capacities given: Robert Q. Kreider, President; Robert C. Dunne, Sr. Vice President & CFO; Stephen Nolan, Sr. Vice President, General Counsel & Secretary; and Lawrence Williams, Vice President for Audit and Compliance. HDF does not maintain separate facilities and operates at one of Devereux's two Corporate sites, at 444 Devereux Drive, Villanova, PA 19085. Devereux has adopted a Spending Rule policy under which is equivalent to approximately 4.5% of the endowment held by HDF is spent to support operations. Under this policy, HDF transferred \$5,368,245 to Devereux during the year. |

| Return Reference | Explanation   |
|------------------|---|
| Part V 2         | Devereux borrowed a total of \$4,500,000 in 2011 and 2012 from HDF to finance the expansion of its New York campus. As of 6/30/2017, \$1,022,191 of that amount has been repaid to HDF. Devereux provides advances to Devereux Cleo Wallace in the ordinary course of business as cash is managed on a consolidated basis. As of June 30, 2017, Devereux had net advances outstanding to Devereux Cleo Wallace of \$2,943,766. These advances bear interest at a rate of 2.2389%. |

# Schedule R (Form 990) 2016

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 23-1390618  
**Name:** The Devereux Foundation

**Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations**

| (a)<br>Name, address, and EIN of related organization                                     |               | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state<br>or foreign country) | (d)<br>Exempt Code<br>section | (e)<br>Public charity<br>status<br>(if section 501(c)<br>(3)) | (f)<br>Direct controlling<br>entity | (g)<br>Section 512<br>(b)(13)<br>controlled<br>entity? | Yes | No |
|---|---------------|-------------------------|--|-------------------------------|---|-------------------------------------|--|-----|----|
| (1)<br><br>8405 Church Ranch Blvd<br>Westminster, CO 80021<br>84-0406820                  | Mental Hlth   | CO                      | 501(c)(3)  | 7                             | Dev Foundtn   | Yes                                 |  |     |    |
| (1)<br><br>c/o Dev Found 2012 Renaissance Blvd<br>King of Prussia, PA 19406<br>74-2277635 | Support Org   | CO                      | 501(c)(3)  | 11-I                          | Cleo Wallace  | Yes                                 |  |     |    |
| (2)<br><br>444 Devereux Drive<br>Villanova, PA 19085<br>30-0034707                        | Support Org   | PA                      | 501(c)(3)  | 11-I                          | Dev Found   | Yes                                 |  |     |    |
| (3)<br><br>c o Devereux TX 1150 Devereux Dr<br>League City, TX 77573<br>74-0406760        | Inactive      | TX                      | 501(c)(3)  | 11-I                          | Dev Found   | Yes                                 |  |     |    |
| (4)<br><br>5850 TG Lee Blvd Ste 400<br>Orlando, FL 32822<br>59-3593023                    | Inactive      | FL                      | 501(c)(3)  | 7                             | Dev Found   | Yes                                 |  |     |    |
| (5)<br><br>10570 S Federal Highway Suite 300<br>Port St Lucie, FL 34952<br>46-0908479     | Child Welfare | FL                      | 501(c)3  | 7                             | N/A   |                                     |  |     | No |